Treewidth 2 in the Planar Graph Product Structure Theorem

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We prove that every planar graph is contained in $H_1 \boxtimes H_2 \boxtimes K_2$ for some graphs H_1 and H_2 both with treewidth 2. This resolves a question of Liu, Norin and Wood [arXiv:2410.20333]. We also show this result is best possible in the following sense: for any $c \in \mathbb{N}$, there is a planar graph G such that for any tree T and graph H with $tw(H) \leq 2$, G is not contained in $H \boxtimes T \boxtimes K_c$.

Keywords: planar graph, product structure

1 Introduction

Graph product structure theory describes graphs in complicated graph classes as subgraphs of products of graphs in simpler graph classes, typically with bounded treewidth or bounded pathwidth. As defined in Section 2, the treewidth of a graph G, denoted by tw(G), is the standard measure of how similar G is to a tree. As illustrated in Figure 1, the *strong product* $A \boxtimes B$ of graphs A and B has vertex-set $V(A) \times V(B)$, where distinct vertices (v, x), (w, y) are adjacent if:

- v = w and $xy \in E(B)$, or
- x = y and $vw \in E(A)$, or
- $vw \in E(A)$ and $xy \in E(B)$.

The following Planar Graph Product Structure Theorem is the classical example of a graph product structure theorem. Here, a graph H is *contained* in a graph G if H is isomorphic to a subgraph of G, written $H \subseteq G$.

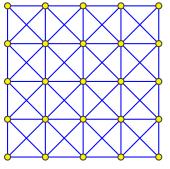


Fig. 1: Strong product of paths.

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Theorem 1. For every planar graph G:

- (a) $G \subseteq H \boxtimes P$ for some graph H with $tw(H) \leq 6$ and path P [21],
- (b) $G \subseteq H \boxtimes P \boxtimes K_2$ for some graph H with $tw(H) \leq 4$ and path P [21],
- (c) $G \subseteq H \boxtimes P \boxtimes K_3$ for some graph H with $tw(H) \leq 3$ and path P [8].

Dujmović et al. [8] first proved Theorem 1(a) with $tw(H) \leq 8$. In follow-up work, Ueckerdt et al. [21] improved the bound to $tw(H) \leq 6$. Part (b) is due to Dujmović, and is presented in [21]. Part (c) is in the original paper of Dujmović et al. [8]. Illingworth, Scott, and Wood [14] gave a new proof of part (c).

Theorem 1 provides a powerful tool for studying questions about planar graphs, by reducing to graphs of bounded treewidth. Indeed, this result has been the key for resolving several open problems regarding queue layouts [8], nonrepetitive colourings [7], centred colourings [5], adjacency labelling schemes [2, 6, 10, 11], twin-width [3, 15, 17], infinite graphs [13], and comparable box dimension [9]. In several of these applications, because the dependence on tw(H) is often exponential, the best bounds are obtained by applying the 3-term product in Theorem 1(c).

The tw(H) \leq 3 bound in Theorem 1(c) is best possible in any result saying that every planar graph is contained $H \boxtimes P \boxtimes K_c$ where P is a path (see [8]). Liu, Norin, and Wood [18] relaxed the assumption that P is a path, and studied products of two graphs of bounded treewidth. They asked whether every planar graph is contained in $H_1 \boxtimes H_2 \boxtimes K_c$ for some graphs H_1 and H_2 with tw(H_1) \leq 2 and tw(H_2) \leq 2. We answer this question in the affirmative.

Theorem 2. Every planar graph G is contained in $H_1 \boxtimes H_2 \boxtimes K_2$ for some graphs H_1 and H_2 with $tw(H_1) \leq 2$ and $tw(H_2) \leq 2$.

We actually prove a strengthening of Theorem 2 that holds for a more general class of graphs G, and with a more precise statement about the structure of H_1 and H_2 ; see Theorem 7 below. We also show that Theorem 2 is best possible in the following sense.

Theorem 3. For any integer $c \ge 1$ there is a planar graph G such that for any tree T and graph H with $tw(H) \le 2$, G is not contained in $H \boxtimes T \boxtimes K_c$.

We conclude this introduction by mentioning an open problem: Does Theorem 2 hold with $H_1 \boxtimes H_2 \boxtimes K_2$ replaced by $H_1 \boxtimes H_2$?

2 Treewidth

We consider finite simple undirected graphs G with vertex-set V(G) and edge-set E(G). For a tree T with $V(T) \neq \emptyset$, a *T*-decomposition of a graph G is a collection $(B_x : x \in V(T))$ such that:

- $B_x \subseteq V(G)$ for each $x \in V(T)$,
- for every edge $vw \in E(G)$, there exists a node $x \in V(T)$ with $v, w \in B_x$, and
- for every vertex $v \in V(G)$, the set $\{x \in V(T) : v \in B_x\}$ induces a non-empty (connected) subtree of T.

The width of such a *T*-decomposition is $\max\{|B_x|: x \in V(T)\} - 1$. A tree-decomposition is a *T*-decomposition for any tree *T*. A path-decomposition is a *P*-decomposition for any path *P*, denoted by the corresponding sequence of bags. The treewidth of a graph *G*, denoted tw(*G*), is the minimum width of a tree-decomposition of *G*. The pathwidth of a graph *G*, denoted pw(*G*), is the minimum width of a path-decomposition of *G*. By definition, tw(*G*) \leq pw(*G*) for every graph *G*. Treewidth is the standard

measure of how similar a graph is to a tree. Indeed, a connected graph has treewidth at most 1 if and only if it is a tree. It is an important parameter in structural graph theory, especially Robertson and Seymour's graph minor theory, and also in algorithmic graph theory, since many NP-complete problems are solvable in linear time on graphs with bounded treewidth. See [1, 12, 19] for surveys on treewidth.

3 Proof of Theorem 2

Let G^+ be the graph obtained from a graph G by adding one new vertex adjacent to every vertex in G. In any graph, a vertex v is *dominant* if v is adjacent to every other vertex. We use the following lemma by Liu et al. [18]. We include the proof for completeness.

Lemma 4 ([18]). For any graph G and any vertex-partition $\{V_1, V_2\}$ of G,

$$G^+ \subseteq G[V_1]^+ \boxtimes G[V_2]^+.$$

Proof: Let $Q := G[V_1]^+ \boxtimes G[V_2]^+$, where r_i is the dominant vertex in $G[V_i]^+$, for $i \in \{1, 2\}$. Let r be the dominant vertex in G^+ . Map r to (r_1, r_2) in Q, which is adjacent to every other vertex in Q. Map each vertex $v \in V_1$ to (v, r_2) in Q. Map each vertex $w \in V_2$ to (r_1, w) in Q. For each edge $vv' \in E(G[V_1])$, the images of v and v' are adjacent in Q. For each edge $ww' \in E(G[V_2])$, the images of w and w' are adjacent in Q. For each edge $vv' \in E(G[V_1])$, the images of v and $v \in V_1$ and $w \in V_2$, the images of v and w are adjacent in Q. Hence, the above mapping shows that G^+ is isomorphic to a subgraph of Q.

If every planar graph had a vertex-partition into two induced forests, then the Four-Colour Theorem would follow. Chartrand and Kronk [4] constructed planar graphs that have no vertex-partition into two induced forests. On the other hand, Thomassen [20, Theorem 4.1] showed the following analogous result where the forest requirement is relaxed¹. A *triangle-forest* is a graph in which every cycle is a triangle.

Lemma 5 ([20]). Every planar graph has a vertex-partition into two induced triangle-forests.

We need the following elementary property of triangle-forests.

Lemma 6. Every triangle-forest G has a matching M such that G/M is a forest.

Proof: We proceed by induction on |V(G)|. If $|V(G)| \le 3$ then the claim holds trivially. Now assume that $|V(G)| \ge 4$. We may assume that G is connected. Suppose that $\deg_G(v) = 1$ for some $v \in V(G)$. By induction, G - v has a matching M such that (G - v)/M is a forest. Since $\deg_G(v) = 1$, G/M is a forest. Now assume that G has minimum degree at least 2. Let B be a leaf block of G. Since G has minimum degree at least 2, B is 2-connected. If B has two non-adjacent vertices v and w, then a cycle through v and w has length at least four, contradicting that G is a triangle-forest. So B induces a triangle. Say $V(B) = \{u, v, w\}$ where w is the cut-vertex separating $\{u, v\}$ from G - V(B). By induction, G - u - v has a matching M such that (G - u - v)/M is a forest. Hence $M' := M \cup \{uv\}$ is a matching in G such that G/M' is a forest.

Lemmas 5 and 6 imply:

Corollary 1. Every planar graph G has a matching M such that G/M has a vertex-partition into two induced forests.

¹ Thomassen [20, Theorem 4.1] proved Lemma 5 for planar triangulations, which implies the result for general planar graphs, since any subgraph of a triangle-forest is a triangle-forest. Lemma 5 was rediscovered by Knauer, Rambaud, and Ueckerdt [16].

For an integer $k \ge 0$, a graph G is *k-apex* if G - A is planar for some $A \subseteq V(G)$ with $|A| \le k$. A graph G is an *apex-forest* if G - A is a forest for some $A \subseteq V(G)$ with $|A| \le 1$. Every apex-forest has treewidth at most 2. Thus, the next result implies and strengthens Theorem 2.

Theorem 7. Every 2-apex graph G is contained in $H_1 \boxtimes H_2 \boxtimes K_2$ for some apex-forests H_1 and H_2 .

Proof: We may assume that G has an edge ab such that G' := G - a - b is planar. By Corollary 1 and Lemma 4, G' has a matching M' such that $(G'/M')^+ \subseteq H_1 \boxtimes H_2$ for some apex-forests H_1 and H_2 . Thus, for the matching $M := M' \cup \{ab\}$ we have $G/M \subseteq (G'/M')^+ \subseteq H_1 \boxtimes H_2$. Hence $G \subseteq H_1 \boxtimes H_2 \boxtimes K_2$. Here we use the fact that if M is a matching in a graph G, then $G \subseteq (G/M) \boxtimes K_2$.

Note that an analogous proof shows that every k-apex graph G is contained in $H_1 \boxtimes H_2 \boxtimes K_{\max\{k,2\}}$ for some apex-forests H_1 and H_2 .

4 Proof of Theorem 3

To prove Theorem 3 it will be convenient to use the language of partitions. A *partition* \mathcal{P} of a graph G is a partition of V(G), where each element of \mathcal{P} is called a *part*. For a partition \mathcal{P} of a graph G, let G/\mathcal{P} be the graph obtained from G by identifying the vertices in each non-empty part of \mathcal{P} to a single vertex; that is, $V(G/\mathcal{P})$ is the set of non-empty parts in \mathcal{P} , where distinct parts $P_1, P_2 \in \mathcal{P}$ are adjacent in G/\mathcal{P} if and only if there exist $v_1 \in P_1$ and $v_2 \in P_2$ such that $v_1v_2 \in E(G)$. A partition \mathcal{P} of a graph G is a *tree-partition* if G/\mathcal{P} is contained in a tree, and \mathcal{P} is a *star-partition* if G/\mathcal{P} is contained in a star.

The next observation characterises when a graph is contained in $H_1 \boxtimes H_2 \boxtimes K_c$. We include the proof for completeness.

Observation 1 ([18]). For any graphs H_1, H_2 and any $c \in \mathbb{N}$, a graph G is contained $H_1 \boxtimes H_2 \boxtimes K_c$ if and only if G has partitions \mathcal{P}_1 and \mathcal{P}_2 such that $G/\mathcal{P}_i \subseteq H_i$ for each $i \in \{1, 2\}$, and $|A_1 \cap A_2| \leq c$ for each $A_1 \in \mathcal{P}_1$ and $A_2 \in \mathcal{P}_2$.

Proof: (\Rightarrow) Assume *G* is contained $H_1 \boxtimes H_2 \boxtimes K_c$. That is, there is an isomorphism ϕ from *G* to a subgraph of $H_1 \boxtimes H_2 \boxtimes K_c$. For each vertex $x \in V(H_1)$, let $A_x := \{v \in V(G) : \phi(v) \in \{x\} \times V(H_2) \times V(K_c)\}$. Similarly, for each vertex $y \in V(H_2)$, let $B_y := \{v \in V(G) : \phi(v) \in V(H_1) \times \{y\} \times V(K_c)\}$. Let $\mathcal{P}_1 := \{A_x : x \in V(H_1)\}$ and $\mathcal{P}_2 := \{B_y : y \in V(H_2)\}$, which are partitions of *G*. By construction, $G/\mathcal{P}_i \subseteq H_i$ for each $i \in \{1, 2\}$. For $A_x \in \mathcal{P}_1$ and $B_y \in \mathcal{P}_2$, if $v \in A_x \cap B_y$ then $\phi(v) \in \{x\} \times \{y\} \times V(K_c)$. Thus $|A_x \cap B_y| \leq c$.

(⇐) Assume G has partitions \mathcal{P}_1 and \mathcal{P}_2 such that $G/\mathcal{P}_i \subseteq H_i$ for each $i \in \{1, 2\}$, and $|A_1 \cap A_2| \leq c$ for each $A_1 \in \mathcal{P}_1$ and $A_2 \in \mathcal{P}_2$. Let ϕ_i be an isomorphism from G/\mathcal{P}_i to a subgraph of H_i . For each $A_1 \in \mathcal{P}_1$ and $A_2 \in \mathcal{P}_2$, enumerate the at most c vertices in $A_1 \cap A_2$, and map the *i*-th such vertex to $(\phi_1(A_1), \phi_2(A_2), i)$. This defines an isomorphism from G to a subgraph of $H_1 \boxtimes H_2 \boxtimes K_c$, as desired. \Box

As illustrated in Figure 2, a graph F is a *fan* if F has a dominant vertex v, called the *centre* of F, such that F - v is a path. A graph F is a *double-fan* if F has two dominant vertices v and w, called the *centres* of F, such that F - v - w is a path. Note that every double-fan is a planar triangulation.

Throughout the following proofs, we use the following convention: if \mathcal{P} and \mathcal{Q} are partitions of a graph G, and $v_i \in V(G)$, then let P_i be the part of \mathcal{P} with $v_i \in P_i$, and let Q_i be the part of \mathcal{Q} with $v_i \in Q_i$. Of course, it is possible that $P_i = P_j$ or $Q_i = Q_j$ for distinct vertices v_i, v_j .

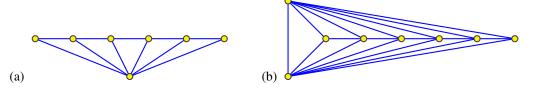


Fig. 2: (a) fan, (b) double-fan

Lemma 8. For any $c \in \mathbb{N}$, let F be a fan on at least $c^2 + c + 1$ vertices with centre v_1 . Let \mathcal{P}, \mathcal{Q} be partitions of F such that \mathcal{P} is a tree-partition and $|P \cap Q| \leq c$ for all $P \in \mathcal{P}$ and $Q \in \mathcal{Q}$. Then there exists $v_2 \in V(F - v_1)$ such that $Q_1 \neq Q_2$.

Proof: Let *B* be the path $F - v_1$. Since v_1 is dominant in *F*, and \mathcal{P} is a tree-partition, \mathcal{P} is a star-partition with centre P_1 . Since $|P_1 \cap Q_1| \leq c$ and $v_1 \in P_1 \cap Q_1$, we have $|V(B) \cap Q_1 \cap P_1| \leq c-1$. Thus $B - (Q_1 \cap P_1)$ has at most *c* components. Since $|V(B)| > (c-1) + c^2$, there is a path component *B'* of $B - (Q_1 \cap P_1)$ on at least c + 1 vertices. If *B'* contains a vertex $v_2 \in P_1$, then $v_2 \notin Q_1$, as desired. So we may assume that *B'* does not intersect P_1 . Thus *B'* is contained in a single part $P_2 \in \mathcal{P}$. Since $|P_2 \cap Q_1| \leq c < |V(B')|$, there exists $v_2 \in B'$ such that $v_2 \notin Q_1$, as desired.

Lemma 9. For any $c \in \mathbb{N}$, let F be a double-fan on at least $8c^2 + 2c + 1$ vertices. Let \mathcal{P}, \mathcal{Q} be partitions of F such that \mathcal{P} is a tree-partition and $|P \cap Q| \leq c$ for all $P \in \mathcal{P}$ and $Q \in \mathcal{Q}$. Let v_1, v_2 be the centres of F. If $P_1 \neq P_2$ and $Q_1 \neq Q_2$, then there exist vertices v_3, v_4 such that $\{v_1, v_2, v_3, v_4\}$ is a 4-clique and Q_1, Q_2, Q_3, Q_4 are pairwise distinct.

Proof: Let *B* be the path $F - v_1 - v_2$. Since v_1 and v_2 are both adjacent to every vertex in *B*, and v_1 and v_2 are in distinct parts of \mathcal{P} , and since \mathcal{P} is a tree-partition, $V(F) = P_1 \cup P_2$. Since $|P \cap Q| \leq c$ for all $P \in \mathcal{P}$ and $Q \in \mathcal{Q}$, this means that $|Q| \leq 2c$ for each $Q \in \mathcal{Q}$. In particular, since $v_1 \in Q_1$ and $v_2 \in Q_2$, this means that $|B \cap (Q_1 \cup Q_2)| \leq 4c - 2$. Thus $B - (Q_1 \cup Q_2)$ has at most 4c - 1 components. Since $|V(B)| \geq 8c^2 + 2c - 1 > (4c - 2) + (4c - 1)2c$, some path component B' of $B - (Q_1 \cup Q_2)$ has at least 2c + 1 vertices. Since $|Q| \leq 2c$ for each $Q \in \mathcal{Q}$, B' intersects at least two parts of \mathcal{Q} . In particular, there is an edge v_3v_4 of B' such that $Q_3 \neq Q_4$. By the choice of B', we have $\{Q_3, Q_4\} \cap \{Q_1, Q_2\} = \emptyset$. Thus $\{v_1, v_2, v_3, v_4\}$ is the desired 4-clique.

A distension of a graph G is any graph \widehat{G} obtained from G by adding, for each edge vw of G, a path P_{vw} complete to $\{v, w\}$, where $P_{vw} \cap G = \emptyset$ and $P_{vw} \cap P_{ab} = \emptyset$ for distinct $vw, ab \in E(G)$. Here 'complete to' means that each vertex in P_{vw} is adjacent to both v and w. Note that $\widehat{G}[V(P_{vw}) \cup \{v, w\}]$ is a double-fan, which we denote by \widehat{G}_{vw} . We say \widehat{G} is the *t*-distension of G if $|V(P_{vw})| = t$ for each edge $vw \in E(G)$. Observe that every distension of a planar graph is planar.

Lemma 10. For any $c \in \mathbb{N}$, there exists a planar graph G such that for any tree-partition \mathcal{P} of G and for any partition \mathcal{Q} of G with $|P \cap Q| \leq c$ for each $P \in \mathcal{P}$ and $Q \in \mathcal{Q}$, there is a 4-clique $\{v_1, v_2, v_3, v_4\}$ in G such that Q_1, Q_2, Q_3, Q_4 are pairwise distinct.

Proof: Let $t := 8c^2 + 2c - 1$. Let F be the fan on t + 1 vertices with centre v_1 . Let B be the path $F - v_1$. Let J be the t-distension of F, and let G be the t-distension of J. Since F is planar, J is planar, and G is

planar. Consider any tree-partition \mathcal{P} of G and any partition \mathcal{Q} of G with $|P \cap Q| \leq c$ for each $P \in \mathcal{P}$ and $Q \in \mathcal{Q}$.

Since $|V(F)| = t + 1 \ge c^2 + c + 1$, by Lemma 8 applied to F and the induced partitions of F, there exists $v_2 \in B$ such that $Q_1 \ne Q_2$. Let C be the t-vertex path $J_{v_1v_2} - v_1 - v_2$.

Since $|V(J_{v_1v_2})| = t + 2 = 8c^2 + 2c + 1$, if $P_1 \neq P_2$ then by Lemma 9, there exist vertices v_3, v_4 such that $\{v_1, v_2, v_3, v_4\}$ is a 4-clique $J_{v_1v_2}$ with Q_1, Q_2, Q_3, Q_4 pairwise distinct, as desired. So we may assume that $P_1 = P_2$.

Consider any vertex $v' \in V(C)$. Let $P' \in \mathcal{P}$ and $Q' \in \mathcal{Q}$ such that $v' \in P' \cap Q'$. Note that $v'v_1$ and $v'v_2$ are edges of J, so the double-fans $G_{v'v_1}$ and $G_{v'v_2}$ exist. Since $Q_1 \neq Q_2$, there exists $i \in \{1, 2\}$ such that $Q' \neq Q_i$. If $P' \neq P_1$ (and thus $P' \neq P_2$), since $|V(G_{v'v_i})| = t + 2 = 8c^2 + 2c + 1$, by Lemma 9 there exists a 4-clique $\{v_i, v', v_3, v_4\}$ in $G_{v'v_i}$ with Q_i, Q', Q_3, Q_4 pairwise distinct, as desired.

So we may assume that $V(C) \subseteq P_1$. Since $v_i \in P_1 \cap Q_i$ for $i \in \{1, 2\}$, and since $|P_1 \cap Q| \leq c$ for all $Q \in Q$, we have $|C \cap (Q_1 \cup Q_2)| \leq 2c - 2$. So $C - (Q_1 \cup Q_2)$ has at most 2c - 1 components. Since $|V(C)| = t = 8c^2 + 2c - 1 > (2c - 1)c + (2c - 2)$, there exists a path component C' of $C - (Q_1 \cup Q_2)$ with at least c + 1 vertices. Note that $C' \subseteq P_1$ also. Since $|P_1 \cap Q| \leq c$ for all $Q \in Q$, there is an edge v_3v_4 in C' such that $Q_3 \neq Q_4$. By the choice of C', $\{Q_3, Q_4\} \cap \{Q_1, Q_2\} = \emptyset$. So $\{v_1, v_2, v_3, v_4\}$ is the desired 4-clique in G.

The next result follows from Lemma 10 and Observation 1, which implies Theorem 3.

Theorem 11. For any $c \in \mathbb{N}$ there exists a planar graph G such that for any tree T and graph H, if $G \subseteq H \boxtimes T \boxtimes K_c$ then $K_4 \subseteq H$ and $\operatorname{tw}(H) \ge 3$.

Theorem 11 strengthens a result of Dujmović et al. [8], who proved it when T is a path.

The next lemma implies that the graph G in Theorem 11 has bounded treewidth and bounded pathwidth. In particular, since G is a distension of a distension of a fan F, and since $tw(F) \le pw(F) \le 2$, we have $tw(G) \le 3$ and $pw(G) \le pw(\widehat{F}) + 2 \le pw(F) + 4 \le 6$. (With a more detailed analysis, one can prove Theorem 11 with $pw(G) \le 4$ for a slightly different graph G; we omit this result.)

Lemma 12. For any graph G and any distension \widehat{G} of G,

 $\operatorname{tw}(\widehat{G}) \leq \max\{\operatorname{tw}(G), 3\}$ and $\operatorname{pw}(\widehat{G}) \leq \operatorname{pw}(G) + 2$.

Proof: We first prove the treewidth bound. Consider a tree-decomposition $(B_x : x \in V(T))$ of G with width tw(G). Apply the following operation for each edge $vw \in E(G)$. Let $x_0 \in V(T)$ such that $v, w \in B_{x_0}$. Say $P_{vw} = (u_1, \ldots, u_t)$ is the path complete to $\{v, w\}$ in \widehat{G} . Add new vertices x_1, \ldots, x_{t-1} and edges $x_0x_1, x_1x_2, \ldots, x_{t-2}x_{t-1}$ to T. Let $B_{x_i} := \{v, w, u_i, u_{i+1}\}$ for each $i \in \{1, \ldots, t-1\}$. We obtain a tree-decomposition of \widehat{G} , in which every new bag has size 4. Thus tw(\widehat{G}) $\leq \max\{\text{tw}(G), 3\}$.

We now prove the pathwidth bound. Let (B_1, \ldots, B_m) be a path-decomposition of G with width pw(G). By duplicating bags, we may assume there is an injection $f : E(G) \to \{1, \ldots, m\}$ such that $v, w \in B_{f(vw)}$ for each edge $vw \in E(G)$. For each edge $vw \in E(G)$, if i := f(vw) and $P_{vw} = (u_1, \ldots, u_t)$ as above, then insert the sequence of bags $B_i \cup \{u_1, u_2\}, B_i \cup \{u_2, u_3\}, \ldots, B_i \cup \{u_{t-1}, u_t\}$ between B_i and B_{i+1} . Since f is an injection, we obtain a path-decomposition of \widehat{G} , in which each bag has size at most two more than the original bag. Thus $pw(\widehat{G}) \leq pw(G) + 2$.

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