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Kraśkiewicz-Pragacz modules and some positivity properties of Schubert polynomials

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Abstract. We use the modules introduced by Kraśkiewicz and Pragacz (1987, 2004) to show some positivity properties of Schubert polynomials. We give a new proof to the classical fact that the product of two Schubert polynomials is Schubert-positive, and also show a new result that the plethystic composition of a Schur function with a Schubert polynomial is Schubert-positive. The present submission is an extended abstract on these results and the full version of this work will be published elsewhere.

Keywords: Schubert polynomials, Schubert functors, Kraśkiewicz-Pragacz modules, Schubert calculus

1 Introduction

The study of Schubert polynomials is an important and interesting subject in algebraic combinatorics. One of the possible methods for studying Schubert polynomials is through the modules introduced by Kraskiewicz and Pragacz ([KP87], [KP04]). Let $\mathfrak{b}$ be the Lie algebra of all upper triangular matrices. For each permutation $w$, Kraskiewicz and Pragacz defined a representation $S_w$ of $\mathfrak{b}$ such that its character with respect to the subalgebra $\mathfrak{h}$ of all diagonal matrices is equal to the Schubert polynomial $S_w$.

In [Wat14a], the author investigated characterizations of $\mathfrak{b}$-modules having a filtration whose subquotients are Kraskiewicz-Pragacz modules (or KP filtration for short). The main motivation for this study is the investigation of positivity properties of Schubert polynomials. For example, it is a classical fact, usually proved using the cohomology ring of flag variety, that the product of two Schubert polynomials is positive. If we show that the tensor product $S_w \otimes S_v$ of KP modules has a KP filtration, then it gives another proof to this fact. Another example is the question of positivity for the plethystic composition of a Schur function with a Schubert polynomial: if $S_w = x^\alpha + x^\beta + \cdots$ and $s_\lambda$ is a Schur function, is $s_\lambda(x^\alpha, x^\beta, \ldots)$ a positive sum of Schubert polynomials? A positive answer

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to this question, a natural generalization of the Schur-positivity of the plethysm of two Schur functions, follows if we show the Schur-functor image $s_\lambda(S_n)$ of a KP module has a KP filtration. In [Wat14b] we gave positive answers to both of these questions.

This extended abstract is a summary of the author’s two papers [Wat14a] and [Wat14b]: the detailed arguments are given in these two papers and either omitted or only outlined here. The following sections are organized as follows. In Sections 2 and 3 we prepare some basic notations, and introduce the notion of Kraśkiewicz-Pragacz modules. In Section 4 we present some results from [Wat14a] giving a characterization of modules having a filtration by KP modules. In Section 5 we show some applications of our characterization: we present the result that the tensor product of KP modules has a KP filtration, and show that the plethystic composition of a Schubert function with a Schubert polynomial is Schubert-positive.

2 Preliminaries

Let $\mathbb{N}$ be the set of all positive integers and let $\mathbb{Z}_{\geq 0}$ be the set of all nonnegative integers. A permutation $w$ is a bijection from $\mathbb{N}$ to itself which fixes all but finitely many points. Let $S_\infty$ denote the group of all permutations. Let $n$ be a positive integer. Let $S_n = \{w \in S_\infty : w(i) = i (i > n)\}$ and $S_n^{(n)} = \{w \in S_\infty : w(n+1) < w(n+2) < \cdots\}$. Sometimes a permutation will be written in one-line form: i.e. if $w \in S_n$, we may write $[w(1) w(2) \cdots w(n)]$ to mean $w$. For $i < j$, let $t_{ij}$ denote the permutation which exchanges $i$ and $j$ and fixes all other points. Let $s_i = t_{i,i+1}$. For a permutation $w$, let $\ell(w) = \#\{i < j : w(i) > w(j)\}$. Let $w_0 \in S_n$ be the longest element of $S_n$, i.e. $w_0(i) = n + 1 - i$ ($1 \leq i \leq n$). For $w \in S_\infty^{(n)}$ we define $\text{code}(w) = (\text{code}(w)_1, \ldots, \text{code}(w)_n) \in \mathbb{Z}_{\geq 0}^n$ by $\text{code}(w)_i = \#\{j : i < j, w(i) > w(j)\}$. We define the Rothe diagram $D(w)$ of a permutation $w$ as $D(w) = \{(i, w(j)) : i < j, w(i) > w(j)\}$.

![Figure 1: Rothe diagram of $w = [25143]$ (corresponding to the positions of the black dots above) is $D(w) = \{(1, 1), (2, 1), (2, 3), (2, 4), (4, 3)\}$ (shown by the boxes above).](image)

For a polynomial $f = f(x_1, \ldots, x_n)$ and $1 \leq i \leq n - 1$, we define $\partial_i f = f_{x_i} - f_{x_i+1}$. For $w \in S_\infty^{(n)}$ we can assign its Schubert polynomial $\mathcal{G}_w \in \mathbb{Z}[x_1, \ldots, x_n]$, which is recursively defined by

- $\mathcal{G}_w = x_1^{w(1)-1} x_2^{w(2)-1} \cdots x_n^{w(n)-1}$ if $w(1) > w(2) > \cdots > w(n)$, and
- $\mathcal{G}_{ws_i} = \partial_i \mathcal{G}_w$ if $\ell(ws_i) < \ell(w)$.

It is known that the set $\{\mathcal{G}_w : w \in S_\infty^{(n)}\}$ constitutes a $\mathbb{Z}$-basis for $\mathbb{Z}[x_1, \ldots, x_n]$ ([Mac91] (4.13)).

Let $K$ be a field of characteristic zero. Let $\mathfrak{b} = \mathfrak{b}_n$ be the Lie algebra of all upper triangular $K$-matrices, and let $\mathfrak{h} \subset \mathfrak{b}$ be the subalgebra of all diagonal matrices and the subalgebra of all strictly upper triangular matrices respectively. Let $\mathcal{U}(\mathfrak{b})$ and $\mathcal{U}(\mathfrak{n}^+)$ be the universal enveloping algebras
of $b$ and $n^+$ respectively. For a $U(b)$-module $M$ and $\lambda = (\lambda_1, \ldots, \lambda_n) \in Z^n$, let $M_\lambda = \{m \in M : hm = (\lambda, h)m \forall h \in b\}$ where $(\lambda, h) = \sum \lambda_i h_i$. If $M_\lambda \neq 0$ then $\lambda$ is said to be a weight of $M$. If $M = \bigoplus_{\lambda \in Z^n} M_\lambda$ and each $M_\lambda$ has a finite dimension, then $M$ is said to be a weight b-module and we define $\text{ch}(M) = \sum \dim M_\lambda x^\lambda$ where $x^\lambda = x_1^{\lambda_1} \cdots x_n^{\lambda_n}$. From here we only consider weight $b$-modules, and write $\text{Ext}^1$ to mean Ext functors in the category of all weight $b$-modules. For $1 \leq i < j \leq n$, let $e_{ij} \in b$ be the matrix with $1$ at the $(i, j)$-position and all other coordinates 0. For $\lambda \in Z^n$, let $K_\lambda$ denote the one-dimensional $U(b)$-module where $h \in b$ acts by $(\lambda, h)$ and $e_{ij}$ acts by 0. We define $\rho = (n - 1, n - 2, \ldots, 0) \in Z^n$, $1 = (1, 1, 1) \in Z^n$ and $e_j = (0, 1, \ldots, 0) \in Z^n$ (1 at $j$-th position).

3 Kraśkiewicz-Pragacz modules

In [KP87], Kraśkiewicz and Pragacz defined certain $U(b)$-modules which we call here Kraśkiewicz-Pragacz modules or KP modules. Here we use the following definition. Let $w \in S^{(n)}$. Let $K^n = \bigoplus_{1 \leq i \leq n} K u_i$ be the vector representation of $b$. For each $p \in \mathbb{N}$, let $\{(i, p) \in D(w)\} = \{i_1, \ldots, i_p\}$ ($i_1 < \cdots < i_p$), and let $u_w^{(p)} = u_{i_1} \wedge \cdots \wedge u_{i_p}$. Let $u_w = u_1^{(1)} \otimes u_2^{(2)} \otimes \cdots \in \bigwedge^{i_1} K^n \otimes \bigwedge^{i_2} K^n \otimes \cdots$. Then the KP module $S_w$ associated to $w$ is defined as $S_w = U(b)u_w$. This module satisfies the following:

Theorem 3.1 (KP04, Remark 1.6 and Theorem 4.1) $S_w$ is a weight module and $\text{ch}(S_w) = \mathcal{G}_w$.

Example 3.2 If $w = s_i$, then $D(s_i) = \{(i, i)\}$, $u_{si} = u_i$, and $S_{si} = \bigoplus_{1 \leq j \leq i} K u_j =: K^i$. So $\text{ch}(S_{si}) = x_1 + \cdots + x_i = \mathcal{G}_i$.

Example 3.3 More generally, if $w \in S^{(n)}$ is a Grassmannian permutation with descent at $i$-th position, i.e. $w(1) < \cdots < w(i)$ and $w(i + 1) < w(i + 2) < \cdots$, then the corresponding Rothe diagram is, after a rearrangement of the columns, a Young diagram in French notation (see Figure 2). In this case $u_w$ is a lowest-weight vector in an irreducible representation of $gl_i$ with lowest weight code(w), and thus $S_w$ is isomorphic to this module (seen as a module over $b_n$ through the morphism $b_n \ni e_{pq} \mapsto \begin{cases} e_{pq} & (q \leq i) \\ 0 & (q > i) \end{cases} \in b_i \hookrightarrow gl_i$). This corresponds to the fact that Schubert polynomials indexed by permutations are just the Schur polynomials.

Example 3.4 If $w$ is 2143-avoiding, then it is known (Mac91, 1.27]) that the rows of $D(w)$ are totally (pre)ordered by inclusion. Thus in this case $u_w$ is an extremal weight vector in a certain irreducible representation of $gl_n$, and thus $S_w$ is isomorphic to a Demazure module: i.e. the $U(b)$-module generated by an extremal weight vector in an irreducible representation of $gl_n$. Note that this corresponds to the result of Lascoux and Schützenberger ([LS89 Theorem 5], [Las02 Corollary 10.5.2]) that Schubert polynomials with 2143-avoiding indices are equal to certain key polynomials. On the other hand, consider $w = [2143]$. Then $D(w) = \{(1, 1), (3, 3)\}$, $u_w = u_1 \otimes u_3$, $S_w = \bigoplus_{1 \leq i \leq 3} K(u_1 \otimes u_i) = K^1 \otimes K^3$ and $\text{ch}(S_w) = x_1(x_1 + x_2 + x_3) = \mathcal{G}_w$. In this case $S_w$ is not isomorphic to the Demazure module of the same lowest weight. In general, $S_w$ is isomorphic to the

\textsuperscript{(i)} The KP module $S_{[2143]}$ in this example, if not seen as a $U(b)$-module but as a $U(n^+)$-module, isomorphic to a Demazure module (say $V(0, 0, 1)$), and thus results such as Theorem 4.3 for this kind of KP modules follow from known results on Demazure
Demazure module $V(\text{code}(w))$ of lowest weight $\text{code}(w)$ if and only if $w$ is 2143-avoiding. For a general $w$, there always exists a surjection from $S_w$ to $V(\text{code}(w))$. \qed

For convenience, we slightly generalize the notion of Schubert polynomials and KP modules. For $\lambda = \text{code}(w) \in \mathbb{Z}_{\geq 0}^n$ ($w \in S^{(n)}_\infty$) we write $S_\lambda = S_w$ and $S_{\lambda'} = S_{w'}$. For a general $\lambda \in \mathbb{Z}^n$ take $k \in \mathbb{Z}$ so that $\lambda + k1 \in \mathbb{Z}_{\geq 0}^n$ and define $S_{\lambda'} = x^{-k1}S_{\lambda+k1}$ and $S_{\lambda'} = K^{-k1}\otimes S_{\lambda+k1}$ (note that this does not depend on the choice of $k$). We use both permutations and integer vectors as indices of Schubert polynomials and KP modules, but we believe that it will cause no confusion.

A KP filtration of a weight $b$-module $M$ is a filtration $0 = M_0 \subset M_1 \subset \cdots \subset M_r = M$ of $b$-modules such that each $M_i/M_{i-1}$ is isomorphic to some $S_{\lambda'}$ ($\lambda' \in \mathbb{Z}^n$). It is clear from the definition that if $M$ has a KP filtration then $\text{ch}(M)$ is a positive sum of Schubert polynomials.

4 A criterion for the existence of KP filtration

In [Wat14a], the author investigated characterizations of modules having KP filtrations. The method we used there is similar to the method used in the study of Demazure modules and excellent filtrations ([Pol89], [vdK89]): the use of the theory of highest-weight categories ([CPS88]).

In order to develop an analogue of the theory on excellent filtration for KP modules using the highest-weight category theory, an important step is to characterize KP modules as projective objects in certain categories of $b$-modules, as Polo did for Demazure modules in [Pol89]. We proved in [Wat14a] the following such characterization.

Introduce an order $\leq$ on $\mathbb{Z}^n$ as follows. For $\lambda, \mu \in \mathbb{Z}^n$, take $k$ so that $\lambda + k1, \mu + k1 \in \mathbb{Z}_{\geq 0}^n$, and define $\lambda \leq \mu$ if $\ell(w) = \ell(v)$ and $w^{-1} \geq v^{-1}$ where $w, v \in S^{(n)}_\infty$ are defined by $\text{code}(w) = \lambda + k1$ and $\text{code}(v) = \mu + k1$. Here $\geq_{\text{lex}}$ denotes the lexicographic ordering on permutations. For $\lambda \in \mathbb{Z}_{\geq 0}^n$, let $C_{\leq \lambda}$ be the category of all weight $b$-modules whose weights are all $\leq \lambda$.

**Theorem 4.1 ([Wat14a] Proposition 6.4)** The modules $S_{\lambda}$ and $S^{\ast}_{\rho - \lambda} \otimes K_{\rho}$ are in $C_{\leq \lambda}$ and give the projective cover and the injective hull of $K_{\lambda}$ in $C_{\leq \lambda}$ respectively.

**Example 4.2** Let $n = 3$. Then the weights $\lambda \in \mathbb{Z}^n$ which are less than or equal to $(0, 2, 1)$, with respect to the ordering $\leq$, are $(1, 1, 1), (2, 1, 0), (1, 2, 0), (2, 0, 1), (3, 0, 0), (1, 0, 2)$ and $(0, 2, 1)$. Then it is easy to
check that the projective cover of $K_{(0,2,1)}$ in $C_{(0,2,1)}$ is isomorphic to the KP module $S_{(0,2,1)} = S_{[1432]}$, which has character $S_{[1432]} = x_1^2x_2 + x_1x_2^2 + x_1x_2x_3 + x_2^2x_3$.

In the proof of this characterization, we used the following structural result on KP modules. The current proof of the following result in [Wat14a] uses a long case-by-case check, and we hope that there is a more elegant proof.

For $w \in S_{[n]}$ and $1 \leq i < j \leq n$, let $C_{ij}(w) = \{ p : (i, p) \notin D(w), (j, p) \in D(w) \}$ ( = $\{ w(k) : k > j, w(i) < w(k) < w(j) \}$) and let $m_{ij}(w) = |C_{ij}(w)|$. Then, since $e_{ij}^2u_p(w) = 0$ for $p \in C_{ij}(w)$ and $e_{ij}u_p(w) = 0$ for $p \notin C_{ij}(w)$, we see that $e_{ij}^{m_{ij}(w)}$ annihilates $u_w = u_{w(1)} \otimes u_{w(2)} \otimes \ldots$. The following theorem states that the annihilator of $u_w$ is in fact generated by these elements:

**Theorem 4.3 ([Wat14a Theorem 4.1])** Let $I_w$ be the left ideal of $U(n^+)$ generated by the elements $e_{ij}^{m_{ij}(w)+1}$ ($1 \leq i < j \leq n$). Then there exists an $U(n^+)$-module isomorphism $U(n^+)/I_w \cong S_w$ sending $1$ mod $I_w$ to $u_w$. \hfill \square

**Example 4.4** Let $n = 4$ and $w = [25143] \in S_{[4]}$. Then the Rothe diagram $D(w)$ is as in Figure 1, and $I_w$ is generated by $e_{12}^3, e_{13}, e_{14}^2, e_{23}, e_{24}$ and $e_{34}^2$.

Note that Theorem 4.3 can be seen as an analog of the Joseph’s result ([Jos85 Theorem 3.4]) on Demazure modules which states (in $gl_n$ case) that the annihilator ideal of the lowest weight vector of weight $\lambda \in \mathbb{Z}^n$ in a Demazure module is generated by $e_{ij}^{\max\{\lambda_j - \lambda_i, 0\}+1}$ ($1 \leq i < j \leq n$).

Using Theorem 4.3, the following characterization of modules having KP filtrations can be shown with a standard argument from the theory of highest-weight categories (in fact, one more trick is needed [Wat14a Lemma 7.1]):

**Theorem 4.5 ([Wat14a Corollary 7.6, Theorem 8.1])** Let $M$ be a finite-dimensional weight $b$-module. Then the followings are equivalent:

1. $M$ has a KP filtration.
2. $\text{Ext}^i(M, S_\lambda \otimes K_\rho) = 0$ for all $i \geq 1$ and $\lambda \in \mathbb{Z}^n$.
3. $\text{Ext}^1(M, S_\lambda \otimes K_\rho) = 0$ for all $\lambda \in \mathbb{Z}^n$.

\hfill \square

5 Applications

In this section we present two applications of Theorem 4.5. One application is the proof of the fact that the tensor product $S_w \otimes S_v$ of KP modules admits a KP filtration. This can be seen as a module-theoretic counterpart of the classical fact that the product $\mathfrak{S}_w \mathfrak{S}_v$ of Schubert polynomials is a positive sum of Schubert polynomials, and it also gives a new proof to this fact. Another application is the Schubert-positivity of the “plethystic composition” $s_\lambda[\mathfrak{S}_w]$ of a Schur function with a Schubert polynomial.

First we prepare some useful corollaries from Theorem 4.5:

**Proposition 5.1** 1. If $M = M_1 \oplus \cdots \oplus M_r$, then $M$ has a KP filtration if and only if each $M_i$ has.

2. If $0 \to L \to M \to N \to 0$ is exact and $M$ and $N$ have KP filtrations, then $L$ also has a KP filtration.
Proof: 1. is easy since Ext\(^1\)(M, N) = ∐ Ext\(^1\)(M\(_i\), N) for any N. 2. also follows from Theorem 4.5 since if 0 → L → M → N → 0 is an exact sequence then we have an exact sequence Ext\(^1\)(M, A) → Ext\(^1\)(L, A) → Ext\(^1\)(N, A) for any A. □

Using this, we can show that the tensor product of KP modules admits a KP filtration:

**Theorem 5.2 ([Wat14b Theorem 4.1])** For any w, v ∈ S\(^{(n)}\), the module S\(_w\) ⊗ S\(_v\) have a KP filtration.

Outline of Proof: First check the case w = s\(_i\) (this corresponds to Monk’s formula for Schubert polynomials) by explicitly constructing a filtration. Then we see that S\(_{i_1}\) ⊗ S\(_{i_2}\) ⊗ · · · ⊗ S\(_{i_k}\) have a KP filtration for any i\(_1\), i\(_2\), . . . , i\(_k\) and v ∈ S\(^{(n)}\), and by Proposition 5.1.1, \(\bigwedge^j S_{s_{i_1}} \otimes \cdots \otimes \bigwedge^r S_{s_{i_r}} \otimes S_v\) have a KP filtration for any i\(_1\), j, . . . , r, v ∈ S\(^{(n)}\).

If w ∈ S\(_n\), it can be shown, also using the cases of Monk’s formula, that for suitable i\(_1\), . . . , i\(_n-1\) there exists an exact sequence 0 → S\(_w\) → \(\bigwedge^{i_1} S_{s_{i_1}} \otimes \cdots \otimes \bigwedge^{i_{n-1}} S_{s_{i_{n-1}}} \) → N → 0 such that N have a KP filtration whose subquotients are of the form S\(_w\) \(\text{lex}^{-1} > w^{-1}\). Then the claim follows by the induction on w using the exact sequence 0 → S\(_w\) ⊗ S\(_v\) → \(\bigwedge^{i_1} S_{s_{i_1}} \otimes \cdots \otimes \bigwedge^{i_{n-1}} S_{s_{i_{n-1}}} \otimes S_v\) → N ⊗ S\(_v\) → 0 and Proposition 5.1.2.

For a general w the claim follows by letting n large, since for n’ > n, b\(_n\)-modules can be naturally identified with b\(_{n'}\)-modules on which e\(_{ij}\) (j > n) acts by zero and under this identification the b\(_n\)-module S\(_w\) (w ∈ S\(^{(n)}\) ⊂ S\(^{(n')}\)) corresponds to the b\(_{n'}\)-module S\(_w\). □

**Remark 5.3** As we pointed in [Wat14a] and [Wat14b], Theorem 5.2 gives a new description of generalized Littlewood-Richardson coefficients, in a manner somewhat similar to triple-intersection formula in the geometric theory of Schubert calculus: for u, v, w ∈ S\(_n\), if the polynomial S\(_u\)S\(_v\) is expanded into a sum of Schubert polynomials, the coefficient of S\(_w\) is equal to the dimension of Hom\(_b\)(S\(_u\) ⊗ S\(_v\), S\(_w\) ⊗ K\(_p\)) ≃ Hom\(_b\)(S\(_u\) ⊗ S\(_v\) ⊗ S\(_w\otimes\lambda\), K\(_p\)).

As a corollary of Theorem 5.2 we can show that the plethystic composition of a Schur function with a Schubert polynomial is Schubert-positive:

**Corollary 5.4 ([Wat14b Corollary 4.3])** Let \(\lambda\) be a partition and s\(_\lambda\) be the corresponding Schur function. Let w ∈ S\(^{(n)}\) and write S\(_w\) as a sum of monomials as S\(_w\) = x\(^{\alpha_1}\) + x\(^{\beta_1}\) + · · ·. Then s\(_\lambda\)[S\(_w\)] := s\(_\lambda\)(x\(^{\alpha_1}\), x\(^{\beta_1}\), . . .) is a positive sum of Schubert polynomials.

Proof: Let s\(_\lambda\) denote the Schur functor corresponding to \(\lambda\) (here we are using the same symbol as Schur functions, but we hope that it would cause no confusion). Then s\(_\lambda\)(S\(_w\)) is a direct sum component of S\(_w\)\(^{\otimes\lambda}\), and thus, by Proposition 5.1.1 and Theorem 5.2, have a KP filtration. Thus the claim follows since s\(_\lambda\)[S\(_w\)] is the character of s\(_\lambda\)(S\(_w\)). □

**Remark 5.5** The method we used here, i.e. the use of KP modules and highest-weight category theory for the study of Schubert positivity, is of course not only applicable for the proof of the positivity of product and plethystic composition but also for the investigation of other positivity properties of Schubert polynomials. For example, by considering the restriction of KP modules to certain subalgebra of b and using the similar method here (i.e. using highest-weight category theory to reduce the problem to the simplest case S\(_s_i\)), it can be shown that for any w ∈ S\(^{(n)}\) and I = \(\{i_1 < \cdots < i_r\}\), J = \(\{j_1 < \cdots < j_s\}\)
with $I \sqcup J = \{1, \ldots, n\}$, the polynomial $S_w(z_1, \ldots, z_n)$ is a positive sum of polynomials of the form $S_u(x_1, \ldots, x_r)S_v(y_1, \ldots, y_s)$. As one of the referees pointed out, this result appears in a work [BS98, Theorem 4.5.4] of Bergeron and Sottile, where the coefficient appearing in the restriction is related to the multiplication coefficients. It would be an interesting problem to investigate module-theoretic counterpart to this relation.

References


