

# *$k$ -distant crossings and nestings of matchings and partitions*

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**Abstract.** We define and consider  $k$ -distant crossings and nestings for matchings and set partitions, which are a variation of crossings and nestings in which the distance between vertices is important. By modifying an involution of Kasraoui and Zeng (Electronic J. Combinatorics 2006, research paper 33), we show that the joint distribution of  $k$ -distant crossings and nestings is symmetric. We also study the numbers of  $k$ -distant noncrossing matchings and partitions for small  $k$ , which are counted by well-known sequences, as well as the orthogonal polynomials related to  $k$ -distant noncrossing matchings and partitions. We extend Chen et al.'s  $r$ -crossings and enhanced  $r$ -crossings.

**Résumé.** Nous définissons les notions de croisements et imbrications  $k$ -distants sur les appariements et les partitions d'ensemble, qui sont une variation sur les notions usuelles prenant en compte la distance entre les sommets. En modifiant une involution de Kasraoui et Zeng (Electronic J. Combinatorics 2006, research paper 33), nous montrons que la distribution jointe des croisements et imbrications  $k$ -distants est symétrique. Nous étudions le nombre d'involutions et de partitions sans croisement  $k$ -distant pour de petites valeurs de  $k$ , qui sont des suites d'entiers bien connues, ainsi que les polynômes orthogonaux qui leur sont reliés. Nous étendons les notions de  $r$ -croisements et  $r$ -croisements améliorés dues à Chen et al.

**Keywords:** crossings, nestings, set partitions, matchings

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## 1 Introduction

A (*set*) *partition* of  $[n] = \{1, 2, \dots, n\}$  is a set of disjoint subsets of  $[n]$  whose union is  $[n]$ . Each element of a partition is called a *block*. We will write a partition as a sequence of blocks, for instance,  $\{1, 4, 8\}\{2, 5, 9\}\{3\}\{6, 7\}$ . Let  $\Pi_n$  denote the set of partitions of  $[n]$ .

Let  $\pi$  be a partition of  $[n]$ . A *vertex* of  $\pi$  is an integer  $i \in [n]$ . An *edge* of  $\pi$  is a pair  $(i, j)$  of vertices satisfying either (1)  $i < j$ , and  $i$  and  $j$  are in the same block with no vertex between them in that block, or (2)  $i = j$  and the block containing  $i$  has no other vertex. Thus when we arrange vertices of  $\pi = \{1, 5\}\{2, 4, 9\}\{3\}\{6, 12\}\{7, 10, 11\}\{8\}$ , in a line in increasing order and draw edges we get Figure 1.

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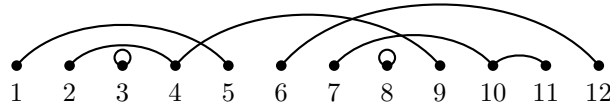


Fig. 1: Diagram for  $\{1, 5\}\{2, 4, 9\}\{3\}\{6, 12\}\{7, 10, 11\}\{8\}$ .

A vertex  $v$  of  $\pi$  is called an *opener* (resp. *closer*) if  $v$  is the smallest (resp. largest) element of a block consisting of at least two integers. A vertex  $v$  is called a *singleton* if  $v$  itself makes a block. A vertex  $v$  is called a *transient* if there are two edges connected to  $v$ . Let  $\mathcal{O}(\pi)$  (resp.  $\mathcal{C}(\pi)$ ,  $\mathcal{S}(\pi)$ ,  $\mathcal{T}(\pi)$ ) be the set of openers (resp. closers, singletons, transients) of  $\pi$ . Let  $\text{type}(\pi) = (\mathcal{O}(\pi), \mathcal{C}(\pi), \mathcal{S}(\pi), \mathcal{T}(\pi))$  and  $\text{type}'(\pi) = (\mathcal{O}(\pi), \mathcal{C}(\pi), \mathcal{S}(\pi) \cup \mathcal{T}(\pi))$ . For the partition in Figure 1, the type of  $\pi$  is  $\text{type}(\pi) = (\{1, 2, 6, 7\}, \{5, 9, 11, 12\}, \{3, 8\}, \{4, 10\})$ .

A *(complete) matching* is a partition without singletons or transients; this is the same thing as a partition in which all blocks have size 2.

Now we can define the main object of our study.

**Definition.** Let  $k$  be a nonnegative integer. A  $k$ -distant crossing of  $\pi$  is a pair of edges  $(i_1, j_1)$  and  $(i_2, j_2)$  of  $\pi$  satisfying  $i_1 < i_2 \leq j_1 < j_2$  and  $j_1 - i_2 \geq k$ . A  $k$ -distant nesting of  $\pi$  is a set of two edges  $(i_1, j_1)$  and  $(i_2, j_2)$  of  $\pi$  satisfying  $i_1 < i_2 \leq j_2 < j_1$  and  $j_2 - i_2 \geq k$ .

Let  $\text{dcr}_k(\pi)$  (resp.  $\text{dne}_k(\pi)$ ) denote the number of  $k$ -distant crossings ( $k$ -distant nestings) in  $\pi$ . Thus  $\text{dcr}_1(\pi)$  is the number of usual crossings of  $\pi$ .

For example, in the partition in Figure 1, the edges  $(4, 9)$  and  $(6, 12)$  form a 3-distant crossing (as well as an  $i$ -distant crossing for  $i = 0, 1, 2$ ), the edges  $(1, 5)$  and  $(2, 4)$  form a 2-distant nesting, the edges  $(2, 4)$  and  $(4, 9)$  form a 0-distant crossing, and the edges  $(7, 10)$  and  $(8, 8)$  form a 0-distant nesting. That partition has  $\text{dcr}_0(\pi) = 5$ ,  $\text{dcr}_2(\pi) = 2$ , and  $\text{dne}_2(\pi) = 2$ .

Kasraoui and Zeng [5] found an involution  $\varphi : \Pi_n \rightarrow \Pi_n$  such that  $\text{type}(\varphi(\pi)) = \text{type}(\pi)$  and  $\text{dcr}_1(\varphi(\pi)) = \text{dne}_1(\pi)$ ,  $\text{dne}_1(\varphi(\pi)) = \text{dcr}_1(\pi)$ . Modifying this involution, for  $k \geq 0$ , we find an involution  $\varphi_k : \Pi_n \rightarrow \Pi_n$  such that  $\text{dcr}_k(\varphi_k(\pi)) = \text{dne}_k(\pi)$ ,  $\text{dne}_k(\varphi_k(\pi)) = \text{dcr}_k(\pi)$  and  $\text{type}(\varphi_k(\pi)) = \text{type}(\pi)$  if  $k \geq 1$ ;  $\text{type}'(\varphi_k(\pi)) = \text{type}'(\pi)$  if  $k = 0$ .

Noncrossing partitions and matchings are interesting and pervasive objects that arise frequently in diverse areas of mathematics; see [10] and [11] and the references therein for an introduction to noncrossing partitions. A partition  $\pi$  is called  $k$ -distant noncrossing if  $\pi$  has no  $k$ -distant crossing. Let  $\text{NCM}_k(n)$  denote the number of  $k$ -distant noncrossing matchings of  $[n]$ . Let  $\text{NCP}_k(n)$  denote the number of  $k$ -distant noncrossing partitions of  $[n]$ .

Table 1 and Table 2 show  $\text{NCM}_k(n)$  and  $\text{NCP}_k(n)$  for small values of  $n$  and  $k$ . We use  $k = \infty$  to indicate that  $i$ -distant crossing is allowed for any positive integer  $i$ , so that  $\text{NCM}_\infty(n)$  and  $\text{NCP}_\infty(n)$  equal the total number of matchings of  $[2n]$  and partitions of  $[n]$ , respectively. A matching or partition cannot have a  $k$ -distant crossing for  $k > n - 3$ , so for fixed  $n$ ,  $\text{NCM}_k(n)$  and  $\text{NCP}_k(n)$  will “converge” to the number of matchings and number of partitions, respectively; for readability we omit those numbers in the tables. The  $n = 0$  column is all 1’s for both tables, of course.

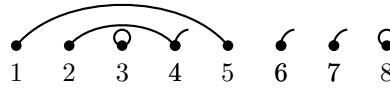
It is well known that noncrossing matchings of  $[2n]$  and noncrossing partitions of  $[n]$  are counted by the Catalan number  $C_n$ . Thus  $\text{NCM}_0(2n) = \text{NCM}_1(2n) = \text{NCP}_1(n) = C_n$ . We will show that  $\text{NCM}_2(2n) = s_n$  and  $\text{NCP}_0(n) = M_n$ , where  $s_n$  and  $M_n$  are the little Schröder numbers (A001003 in [12]) and the Motzkin numbers (A001006 in [12]) respectively. We will also find the generating functions

<i>k</i> \ <i>n</i>	2	4	6	8	10	12	14	16	18	20
1	1	2	5	14	42	132	429	1430	4862	16796
2		3	11	45	197	903	4279	20793	103049	518859
3			14	71	387	2210	13053	79081	488728	3069007
4			15	91	581	3906	27189	194240	1416168	10494328
5				102	753	5752	45636	372360	3101523	26266917
6				105	873	7541	66690	607128	5657520	53631564
7					930	8985	88450	885394	9067611	94719138
8					945	9885	107847	1187376	13233511	150234570
9						10290	122115	1476948	17933348	219754737
10						10395	130515	1715475	22701570	300724081
11							134190	1881495	26969370	386669322
12							135135	1975995	30306045	468680940
13								2016630	32546745	538581120
14								2027025	33794145	591287445
15									34324290	625810185
16									34459425	652702050
17										644729085
18										654729075
∞	1	3	15	105	945	10395	135135	2027025	34459425	654729075

**Tab. 1:** *k*-distant noncrossing matchings. The *k* = 0 row is omitted because, as matchings have no transient vertices, the *k* = 0 row is the same as *k* = 1 row; both, of course, are counted by the Catalan numbers (A000108). The *k* = 2 row is the little Schröder numbers (A001003).

<i>k</i> \ <i>n</i>	1	2	3	4	5	6	7	8	9	10	11	12
0	1	2	4	9	21	51	127	323	835	2188	5798	15511
1			5	14	42	132	429	1430	4862	16796	58786	208012
2				15	51	188	731	2950	12235	51822	223191	974427
3					52	201	841	3726	17213	82047	400600	1993377
4						203	872	4037	19796	101437	537691	2926663
5							877	4125	20802	110950	618777	3575688
6								4140	21095	114663	657698	3943294
7									21147	115772	673019	4118232
8										115975	677693	4187838
9											678570	4209457
10												4213597
∞	1	2	5	15	52	203	877	4140	21147	115975	678570	4213597

**Tab. 2:** *k*-distant noncrossing partitions. The *k* = 0, 1, and 2 rows are counted by Motzkin numbers (A001006), the Catalan numbers, and A007317, respectively.



**Fig. 2:** The 8-th trace  $T_8(\pi)$  of  $\pi$  in Figure 1. The vacant vertices are 4, 6 and 7.

for  $NCP_2(n)$  and  $NCM_3(2n)$ .

Throughout this paper we will frequently refer to sequences in the Online Encyclopedia of Integer Sequences [12] using their “A number”; we will usually omit the citation to [12] and consider it understood that things like “A000108” are a reference to the corresponding sequence in the OEIS.

The rest of this paper is organized as follows. In section 2, we modify Kasraoui and Zeng’s involution to prove the joint distribution of  $k$ -distant crossings and nestings is symmetric. In section 3, we review a bijection between partitions and Charlier diagrams. In section 4 and section 5, we study the number of  $k$ -distant noncrossing matchings and partitions, and, in section 6, we consider the orthogonal polynomials related to these numbers. In section 7, we extend  $r$ -crossings and enhanced  $r$ -crossings of Chen et al. [1].

## 2 Modification of the involution of Kasraoui and Zeng

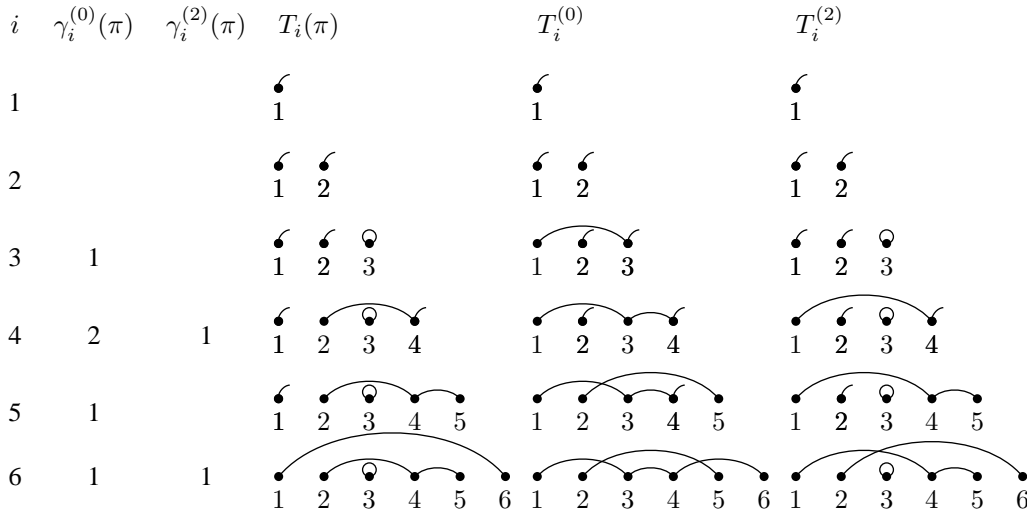
Kasraoui and Zeng [5] found an involution  $\varphi : \Pi_n \rightarrow \Pi_n$  such that  $dcr_1(\varphi(\pi)) = dne_1(\pi)$ ,  $dne_1(\varphi(\pi)) = dcr_1(\pi)$  and  $type(\varphi(\pi)) = type(\pi)$ . In this section, for fixed  $k \geq 0$ , we find an involution  $\varphi_k : \Pi_n \rightarrow \Pi_n$  such that  $dcr_k(\varphi_k(\pi)) = dne_k(\pi)$  and  $dne_k(\varphi_k(\pi)) = dcr_k(\pi)$ .

We will follow Kasraoui and Zeng’s notations. We will identify a partition  $\pi$  to its diagram as shown in Figure 1.

The  $i$ -th trace  $T_i(\pi)$  of  $\pi$  is the diagram obtained from  $\pi$  by removing vertices greater than  $i$ . If a vertex  $v \leq i$  is connected to  $u > i$  in  $\pi$  then make a half edge from  $v$  in  $T_i(\pi)$ . Each vertex with a half edge is called vacant vertex. For an example, see Figure 2.

Let  $k$  be a fixed nonnegative integer. We define  $\varphi_k : \Pi_n \rightarrow \Pi_n$  as follows.

1. Set  $T_0^{(k)} = \emptyset$ .
2. For  $1 \leq i \leq n$ ,  $T_i^{(k)}$  is obtained as follows.
  - (a) Let  $T_i^{(k)}$  (resp.  $T'_i(\pi)$ ) be  $T_{i-1}^{(k)}$  (resp.  $T_{i-1}(\pi)$ ) with new vertex  $i$ .
  - (b) If  $i \in \mathcal{O}(\pi) \cup \mathcal{S}(\pi) \cup \mathcal{T}(\pi)$ , then make a half edge from  $i$  both in  $T_i^{(k)}$  and  $T'_i(\pi)$ .
  - (c) If  $i \in \mathcal{C}(\pi) \cup \mathcal{S}(\pi) \cup \mathcal{T}(\pi)$ , let  $j$  be the vertex connected to  $i$  in  $\pi$ .
    - i. If  $i - j < k$ , then  $j$  must be a vacant vertex in  $T_i^{(k)}$ . Remove the half edge from  $j$  and add an edge  $(i, j)$  in  $T_i^{(k)}$ .
    - ii. If  $i - j \geq k$ , then let  $U$  (resp.  $V$ ) be the set of all vacant vertices  $v$  in  $T_i^{(k)}$  (resp.  $T'_i(\pi)$ ) such that  $i - v \geq k$ . Let  $\gamma_i^{(k)}(\pi)$  denote the integer  $r$  such that  $j$  is the  $r$ -th largest element of  $V$ . Let  $j'$  be the  $\gamma_i^{(k)}(\pi)$ -th smallest element of  $U$ . Remove the half edge from  $j'$  and add an edge  $(j', i)$  in  $T_i^{(k)}$ .



**Fig. 3:** Construction of  $\varphi_0(\pi) = T_6^{(0)}$  and  $\varphi_2(\pi) = T_6^{(2)}$  for  $\pi = \{1, 6\}\{2, 4, 5\}\{3\}$ .

3. Set  $\varphi_k(\pi) = T_n^{(k)}$ .

For example, see Figure 3. Using the same argument as in [5], we can prove that  $\varphi_k$  is an involution and satisfies  $\text{dcr}_k(\varphi_k(\pi)) = \text{dne}_k(\pi)$ ,  $\text{dne}_k(\varphi_k(\pi)) = \text{dcr}_k(\pi)$ ,  $\text{type}(\varphi_k(\pi)) = \text{type}(\pi)$  if  $k \geq 1$ ;  $\text{type}'(\varphi_k(\pi)) = \text{type}'(\pi)$  if  $k = 0$ . Thus we have the following.

**Theorem 2.1.** *Let  $k$  be a nonnegative integer. Then*

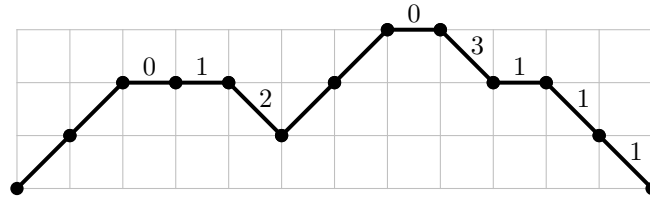
$$\sum_{\pi \in \Pi_n} x^{\text{dcr}_k(\pi)} y^{\text{dne}_k(\pi)} = \sum_{\pi \in \Pi_n} x^{\text{dne}_k(\pi)} y^{\text{dcr}_k(\pi)}.$$

### 3 Motzkin paths and Charlier diagrams

In this section, we recall a bijection between partitions and Charlier diagrams [4, 5].

A *step* is a pair  $(p, q)$  of points  $p, q \in \mathbb{Z} \times \mathbb{Z}$ . The *height* of a step  $(p, q)$  is the second component of  $p$ , i.e, if  $p = (a, b)$  then the height of the step  $(p, q)$  is  $b$ . A step  $(p, q)$  is called an *up* (resp. *down*, *horizontal*) step if the component-wise difference  $q - p$  is  $(1, 1)$  (resp.  $(1, -1)$ ,  $(1, 0)$ ). A *path* of length  $n$  is a sequence  $(p_0, p_1, p_2, \dots, p_n)$  of  $n + 1$  points in  $\mathbb{Z} \times \mathbb{Z}$ . The  *$i$ -th step* of a path  $(p_0, p_1, p_2, \dots, p_n)$  is  $(p_{i-1}, p_i)$ . A *nonnegative path* of length  $n$  is a path from  $(0, 0)$  to  $(n, 0)$ , which never goes below the  $x$ -axis. A *Motzkin path* of length  $n$  is a nonnegative path of length  $n$  consisting of up steps, down steps and horizontal steps. A *Charlier diagram* of length  $n$  is a pair  $(M, e)$  where  $M = (p_0, p_1, \dots, p_n)$  is a Motzkin path of length  $n$  and  $e = (e_1, e_2, \dots, e_n)$  is a sequence of integers such that

1. if the  $i$ -th step is an up step then  $e_i = 0$ ,
2. if the  $i$ -th step is a down step of height  $h$  then  $1 \leq e_i \leq h$ ,
3. if the  $i$ -th step is a horizontal step of height  $h$  then  $0 \leq e_i \leq h$ .



**Fig. 4:** The Charlier diagram for the partition of Figure 1. The label  $e_i$  is written above the horizontal and down steps.

We will identify a Charlier diagram  $(M, e)$  with the sequence  $(s_1, s_2, \dots, s_n)$  of labeled letters in  $\{U, D_1, D_2, \dots, H_0, H_1, H_2, \dots\}$  such that  $s_i = U$  (resp.  $s_i = D_{e_i}, s_i = H_{e_i}$ ) if the  $i$ -th step of  $M$  is an up (resp. down, horizontal) step.

Let  $\pi$  be a partition of  $[n]$ . Recall that in the previous section, if  $i$  is a closer or transient, then  $\gamma_i^{(1)}(\pi)$  is the integer  $r$  such that  $i$  is connected to the  $r$ -th largest integer in  $T_{i-1}^{(1)}(\pi)$ .

The corresponding Charlier diagram  $\text{Ch}(\pi) = (s_1, s_2, \dots, s_n)$  is defined as follows:

1. if  $i$  is an opener in  $\pi$  then  $s_i = U$ ,
2. if  $i$  is a closer in  $\pi$  and  $\gamma_i^{(1)}(\pi) = r$  then  $s_i = D_r$ ,
3. if  $i$  is a singleton in  $\pi$  then  $s_i = H_0$ ,
4. if  $i$  is a transient in  $\pi$  and  $\gamma_i^{(1)}(\pi) = r$  then  $s_i = H_r$ .

For example, see Figure 4.

It is easy to see that if there is a step  $D_\ell$  or  $H_\ell$  with  $\ell \geq 2$  in  $\text{Ch}(\pi)$ , then  $\pi$  has an  $(\ell - 1)$ -distant crossing.

### 4 $k$ -distant noncrossing matchings

In this section we will find the number of  $k$ -distant noncrossing matchings for  $k = 0, 1, 2$  and  $3$ . Note that since there is no matching of  $[2n + 1]$  we have  $NCM_k(2n + 1) = 0$  for all  $n$  and  $k$ . Thus we will only consider  $NCM_k(2n)$ .

#### 4.1 0- and 1-distant noncrossing matchings

Since matchings have no transient vertices, being 0-distant crossing is equivalent to being 1-distant crossing.

We can easily see that a matching  $\pi$  is 1-distant noncrossing if and only if  $\text{Ch}(\pi)$  consists of  $U$  and  $D_1$ . Thus a 1-distant noncrossing matching corresponds to a Dyck path.

**Theorem 4.1.** *We have*

$$NCM_0(2n) = NCM_1(2n) = C_n = \frac{1}{n + 1} \binom{2n}{n}.$$

### 4.2 2-distant noncrossing matchings

Let  $\pi$  be a 2-distant noncrossing matching. Then  $\text{Ch}(\pi)$  consists of  $U, D_1$ , and  $D_2$ . By definition of  $\text{Ch}(\pi)$ ,  $D_2$  is of height at least 2. Moreover, since  $\pi$  has no 2-distant crossing,  $D_2$  must immediately follow  $U$ . Thus we can consider  $\text{Ch}(\pi)$  as a nonnegative path consisting of the three steps  $U = (1, 1)$ ,  $D_1 = (1, -1)$  and  $UD_2 = (2, 0)$  such that  $UD_2$  never touches the  $x$ -axis. This is exactly the definition of a little Schröder path, see [13]. Thus we get the following theorem.

**Theorem 4.2.** *We have*

$$NCM_2(2n) = s_n,$$

where  $s_n$  is the little Schröder number (A001003).

### 4.3 3-distant noncrossing matchings

Let  $\pi$  be a 3-distant noncrossing matching. One can check that  $\text{Ch}(\pi)$  consists of  $U, D_1, D_2$ , and  $D_3$  satisfying the following.

1.  $D_\ell$  is of height at least  $\ell$  for  $\ell = 1, 2, 3$ .
2.  $D_3$  can only occur after two consecutive  $U$ , and
3.  $D_2$  can only occur after  $U$  or after either  $D_2$  or  $D_3$  which follows  $U$ .

Thus we can consider  $\text{Ch}(\pi)$  as a nonnegative path consisting of the 6 steps  $U, D_1, UD_2, UUD_3, UD_2D_2, UUD_3D_2$  such that the last four steps must be above the line  $y = 1$ . Let  $g(n)$  be the number of nonnegative paths of length  $n$  consisting of  $U, D_1, UD_2, UUD_3, UD_2D_2$ , and  $UUD_3D_2$ . Let  $F(x) = \sum_{n \geq 0} NCM_3(2n)x^n$  and  $G(x) = \sum_{n \geq 0} g(2n)x^n$ .

Decomposing nonnegative paths, we get that  $G(x) = 1 + (x + x^2)G(x) + (x^{\frac{1}{2}} + x^{\frac{3}{2}})^2G(x)^2$  and  $F(x) = 1 + xG(x)F(x)$ . Thus we get

$$G(x) = \frac{1 - x - x^2 - \sqrt{1 - 6x - 9x^2 - 2x^3 + x^4}}{2x(x + 1)^2}.$$

Now we get the generating function for  $NCM_3(2n)$ .

**Theorem 4.3.** *We have*

$$\begin{aligned} \sum_{n \geq 0} NCM_3(2n)x^n &= \frac{2(x + 1)^2}{1 + 5x + 3x^2 + \sqrt{1 - 6x - 9x^2 - 2x^3 + x^4}} \\ &= 1 + x + 3x^2 + 14x^3 + 71x^4 + 387x^5 + 2210x^6 + 13053x^7 + \dots \end{aligned}$$

## 5 *k*-distant noncrossing partitions

### 5.1 0-distant noncrossing partitions

Let  $\pi$  be a 0-distant noncrossing partition. Then  $\text{Ch}(\pi)$  consists of  $U, D_1, H_0$ . Thus  $\text{Ch}(\pi)$  is a Motzkin path.

**Theorem 5.1.** *The number of 0-distant noncrossing partitions of  $[n]$  is equal to the number of Motzkin paths of length  $[n]$  (A001006).*

### 5.2 1-distant noncrossing partitions

Let  $\pi$  be a 1-distant noncrossing partition. Then  $\pi$  is a usual noncrossing partition. It is well known that the number of noncrossing partitions of  $[n]$  is the Catalan number  $C_n$ .

**Theorem 5.2.** *We have*

$$NCP_1(n) = C_n.$$

### 5.3 2-distant noncrossing partitions

Let  $\pi$  be a 2-distant noncrossing partition. Then  $\text{Ch}(\pi)$  consists of  $U, D_1, D_2, H_0, H_1,$  and  $H_2$  and satisfies

1.  $D_\ell$  and  $H_\ell$  are of height at least  $\ell$ ,
2.  $H_2$  and  $D_2$  can only occur after  $U, H_1,$  or  $H_2$ .

Thus we can consider  $\text{Ch}(\pi)$  as a nonnegative path with the following steps:

$$UH_2^k, UH_2^k D_2, H_1 H_2^k, H_1 H_2^k D_2, H_0, \text{ and } D_1,$$

where  $k$  is a nonnegative integer and  $H_2^k$  means  $k$  consecutive  $H_2$  steps.

Let  $a(n)$  (resp.  $b(n)$ ) denote the number of nonnegative paths of length  $n$  consisting of the above steps such that  $D_\ell$  and  $H_\ell$  is of height at least  $\ell - 2$  (resp. at least  $\ell - 1$ ). In fact, the height condition is unnecessary for  $a(n)$  since every step is of height at least 0. Let  $F(x) = \sum_{n \geq 0} NCP_2(n)x^n$ ,  $A(x) = \sum_{n \geq 0} a(n)x^n$ , and  $B(x) = \sum_{n \geq 0} b(n)x^n$ .

Note that the steps which increase the  $y$ -coordinate by 1 are

$$UH_2^k, \quad k \geq 0,$$

the steps which do not change the  $y$ -coordinate are

$$H_0, H_1 H_2^k, UH_2^k D_2, \quad k \geq 0,$$

and the steps which decrease the  $y$ -coordinate by 1 are

$$D_1, H_1 H_2^k D_2, \quad k \geq 0.$$

Thus, by decomposing nonnegative paths, we get

$$\begin{aligned} A(x) &= 1 + \left(x + \frac{x}{1-x} + \frac{x^2}{1-x}\right) A(x) + \frac{x}{1-x} \cdot \left(x + \frac{x^2}{1-x}\right) A(x)^2, \\ B(x) &= 1 + \left(2x + \frac{x^2}{1-x}\right) B(x) + \frac{x}{1-x} \cdot \left(x + \frac{x^2}{1-x}\right) A(x)B(x), \\ F(x) &= 1 + xF(x) + x^2B(x)F(x). \end{aligned}$$

Solving these equations, we get the following theorem.



**Theorem 5.3.** *We have*

$$\begin{aligned} \sum_{n \geq 0} NCP_2(n)x^n &= \frac{3 - 3x - \sqrt{1 - 6x + 5x^2}}{2(1 - x)} = \frac{3}{2} - \frac{1}{2} \sqrt{\frac{1 - 5x}{1 - x}} \\ &= 1 + x + 2x^2 + 5x^3 + 15x^4 + 51x^5 + 188x^6 + 731x^7 + 2950x^8 + \dots \end{aligned}$$

This sequence is A007317. Mansour and Severini [9] proved that the generating function for the number of 12312-avoiding partitions is equal to that in 5.3. Thus the number of 2-distant noncrossing partitions of  $[n]$  is equal to the number of 12312-avoiding partitions of  $[n]$ . Yan [16] found a bijection from 12312-avoiding partitions of  $[n]$  to UH-free Schröder paths of length  $2n - 2$ . Composing several bijections including Yan’s bijection, Kim [7] found a bijection between 2-distant noncrossing partitions and 12312-avoiding partitions.

## 6 Orthogonal polynomials

Given a sequence  $\{\mu_n\}_{n \geq 0}$ , one may try to define a sequence of polynomials  $\{P_n(x)\}_{n \geq 0}$  that are orthogonal with respect to  $\{\mu_n\}$ ; that is, if we define a measure with  $\mu_n = \int x^n d\mu$ , then

$$\int P_n(x)P_m(x) d\mu = 0$$

whenever  $n \neq m$ . These polynomials must satisfy a three-term recurrence relation of the form

$$P_{n+1}(x) = (x - b_n)P_n(x) - \lambda_n P_{n-1}(x), \tag{1}$$

with  $P_0(x) = 1$  and  $P_1(x) = x - b_0$ . Viennot showed [14, 15] that for any sequence  $\{\mu_n\}$ —which are called the *moments*—one can interpret the moment  $\mu_n$  as the generating function for weighted Motzkin paths of length  $n$  in which up steps have weight 1, horizontal steps of height  $k$  have weight  $b_k$ , and down steps of height  $k$  have weight  $\lambda_k$ ; then the polynomials in (1) will be orthogonal with respect to  $\{\mu_n\}_{n \geq 0}$ .

Many classical combinatorial sequences have been interpreted as the moment sequences for a set of orthogonal polynomials, and the corresponding orthogonality relation proved with a sign-reversing involution. In particular, it is known that:

- If  $\mu_{2n+1} = 0$  and  $\mu_{2n} = C_n$ , the Catalan number, then  $b_n = 0$  and  $\lambda_n = 1$ ; the corresponding polynomials are Chebyshev polynomials of the second kind [2], which may be defined by

$$U_{n+1}(x) = xU_n(x) - U_{n-1}(x),$$

with  $U_0(x) = 1$  and  $U_1(x) = x$ . These moments are  $NCM_0(n)$ .

- If  $\mu_{2n+1} = 0$  and  $\mu_{2n} = (2n - 1)!!$ , then  $b_n = 0$  and  $\lambda_n = n$ ; the corresponding polynomials are Hermite polynomials [14]. These moments are  $NCM_\infty(n)$ .
- If  $\mu_n = M_n$ , the  $n$ -th Motzkin number, then  $b_n = 1$ ,  $\lambda_n = 1$ ; the corresponding polynomials are shifted Chebyshev polynomials of the second kind:  $U_n(x - 1)$ . See [3, section 4.1]. These moments are  $NCP_0(n)$ .

- If  $\mu_n = B_n$ , the number of partitions of  $[n]$ , then  $b_n = n + 1$  and  $\lambda_n = n$ ; the corresponding polynomials are Charlier polynomials (with  $a = 1$ ) [14]. These moments are  $NCP_\infty(n)$ .

With these observations, it is natural to try to use, say,  $NCM_k(n)$  as a sequence of moments. Letting  $k$  go from 0 to infinity would then allow us to interpolate between Chebyshev polynomials and Hermite polynomials; using  $NCP_k(n)$  would give the corresponding interpolation between shifted Chebyshev and Charlier polynomials.

If we use  $NCM_2(n)$  for the moments, then we have  $b_n = 0$ ,  $\lambda_{2n+1} = 1$ , and  $\lambda_{2n} = 2$ . This follows from the work of Kim and Zeng [6]: use  $U_n(x, 2)$  in their paper. In their paper, they derive formulas for the moments of  $U_n(x, 2)$  which are the same as known formulas for  $NCM_2(n)$ , which are the little Schröder numbers.

If we attempt to do the same with  $NCM_3(n)$ , we get stuck: since  $NCM_3(2n + 1) = 0$ , we know that  $b_n = 0$ , but the  $\lambda_n$  sequence starts with

$$1, 2, \frac{5}{2}, \frac{3}{10}, \frac{76}{5}, -\frac{680}{57}, -\frac{2311}{7752}, \frac{1246001}{314296}, \frac{114710016}{151553069}, \dots \tag{2}$$

Not only are some  $\lambda_n$ 's fractions, but some are negative, which means prospects for polynomials with nice combinatorics are dim.

Let us try the same line of attack with  $k$ -distant noncrossing partitions. Using  $NCP_1(n)$ —Catalan numbers—for a set of moments, we get a shifted version of Chebyshev polynomials of the second kind:  $b_0 = 1$ , all other  $b_n = 2$ , and all  $\lambda_n = 1$ . These polynomials can be written  $U_n(x - 2)$ , with slightly different initial conditions:  $U_0(x) = 1$  and  $U_1(x) = x - 1$ . The easiest way to see why these recurrence coefficients and initial conditions are orthogonal with respect to the Catalan numbers is with a bijection between Motzkin paths of length  $n$  with the above weighting and Dyck paths of length  $2n$ : take each up step  $U$  and make it  $UU$ , take each down step  $D$  and make it  $DD$ , and take each horizontal step  $H$  and make it either  $UD$  or  $DU$ —except for the horizontal step at height zero, which can only be made into  $UD$ . This process turns a weighted Motzkin path of length  $n$  into a Dyck path of length  $2n$  and is easily shown to be a bijection.

When using  $NCP_2(n)$  and  $NCP_3(n)$  as the moments, we again get some fractional coefficients, but they seem much nicer. We have computed the following with Maple: if  $\mu_n = NCP_2(n)$  then

$$\{b_n\}_{n \geq 0} = \left\{ 1, 3 - 1, 3 - \frac{1}{2}, 3 - \frac{1}{10}, 3 - \frac{1}{65}, 3 - \frac{1}{442}, 3 - \frac{1}{3026}, \dots \right\} \text{ and}$$

$$\{\lambda_n\}_{n \geq 1} = \left\{ 1, 1 + 1, 1 + \frac{1}{4}, 1 + \frac{1}{25}, 1 + \frac{1}{169}, 1 + \frac{1}{1156}, 1 + \frac{1}{7921}, \dots \right\};$$

if  $\mu_n = NCP_3(n)$  then

$$\{b_n\}_{n \geq 0} = \{1, 2, 3, 3, 3, \dots\} \quad \text{and} \quad \{\lambda_n\}_{n \geq 1} = \{1, 2, 2, 2, 2, \dots\}.$$

The first case is very interesting. The sequences of denominators of  $b_n$ 's and  $\lambda_n$ 's appear in A064170 and A081068 respectively. Based on the above evidence, we make the following conjectures.

**Conjecture 6.1.** *If  $\mu_n = NCP_2(n)$  then  $b_0 = 1, b_1 = 2, \lambda_1 = 1$ , and for  $n \geq 2$*

$$b_n = 3 - \frac{1}{F_{2n-1}F_{2n-3}} \quad \text{and} \quad \lambda_n = 1 + \frac{1}{(F_{2n-3})^2},$$

where  $F_n$  is the  $n$ -th Fibonacci number, i.e.,  $F_{n+1} = F_n + F_{n-1}$  and  $F_1 = F_2 = 1$ .

**Conjecture 6.2.** If  $\mu_n = NCP_3(n)$  then  $b_0 = 1, b_1 = 2, b_2 = 3, \lambda_1 = 1, \lambda_2 = 2$ , and, for  $n \geq 3$ ,  $b_n = 3$  and  $\lambda_n = 2$ .

## 7 $k$ -distant $r$ -crossing

Chen et al. [1] considered a different kind of crossing number. Our definition of  $k$ -distant crossing can be applied to their definition.

Let  $k \geq 0$  and  $r \geq 2$  be integers. A  $k$ -distant  $r$ -crossing is a set of  $r$  edges  $(i_1, j_1), (i_2, j_2), \dots, (i_r, j_r)$  such that  $i_1 < i_2 < \dots < i_r \leq j_1 < j_2 < \dots < j_r$  and  $j_1 - i_r \geq k$ . Similarly, a  $k$ -distant  $r$ -nesting is a set of  $r$  edges  $(i_1, j_1), (i_2, j_2), \dots, (i_r, j_r)$  such that  $i_1 < i_2 < \dots < i_r \leq j_r < j_{r-1} < \dots < j_1$  and  $j_r - i_r \geq k$ . In [1], they defined an  $r$ -crossing and an enhanced  $r$ -crossing, which are a 1-distant  $r$ -crossing and a 0-distant  $r$ -crossing respectively.

Let  $\text{DCR}_k(\pi)$  (resp.  $\text{DNE}_k(\pi)$ ) be the maximal  $r$  such that  $\pi$  has a  $k$ -distant  $r$ -crossing (resp.  $k$ -distant  $r$ -nesting). Let  $f_{n,S,T}(k; i, j)$  denote the number of partitions  $\pi$  of  $[n]$  such that  $\text{DCR}_k(\pi) = i$ ,  $\text{DNE}_k(\pi) = j$ ,  $\mathcal{O}(\pi) = S$  and  $\mathcal{C}(\pi) = T$ . Chen et al. [1] proved that  $f_{n,S,T}(k; i, j) = f_{n,S,T}(k; j, i)$  for  $k = 0, 1$ . Krattenthaler [8] extended this result using growth diagrams.

Using Krattenthaler's growth diagram method, we can get the following theorem.

**Theorem 7.1.** Let  $n \geq 1$  and  $k \geq 0$  be integers. Then

$$f_{n,S,T}(k; i, j) = f_{n,S,T}(k; j, i).$$

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