

# Classification of Ehrhart polynomials of integral simplices

Akihiro Higashitani <sup>†</sup>

Department of Pure and Applied Mathematics, Graduate School of Information Science and Technology, Osaka University, Toyonaka, Osaka 560-0043, Japan

**Abstract.** Let  $\delta(\mathcal{P}) = (\delta_0, \delta_1, \dots, \delta_d)$  be the  $\delta$ -vector of an integral convex polytope  $\mathcal{P}$  of dimension  $d$ . First, by using two well-known inequalities on  $\delta$ -vectors, we classify the possible  $\delta$ -vectors with  $\sum_{i=0}^d \delta_i \leq 3$ . Moreover, by means of Hermite normal forms of square matrices, we also classify the possible  $\delta$ -vectors with  $\sum_{i=0}^d \delta_i = 4$ . In addition, for  $\sum_{i=0}^d \delta_i \geq 5$ , we characterize the  $\delta$ -vectors of integral simplices when  $\sum_{i=0}^d \delta_i$  is prime.

**Résumé.** Soit  $\delta(\mathcal{P}) = (\delta_0, \delta_1, \dots, \delta_d)$  le  $\delta$ -vecteur d'un polytope intégrante de dimension  $d$ . Tout d'abord, en utilisant deux bien connus des inégalités sur  $\delta$ -vecteurs, nous classons les  $\delta$ -vecteurs possibles avec  $\sum_{i=0}^d \delta_i \leq 3$ . En outre, par le biais de Hermite formes normales, nous avons également classer les  $\delta$ -vecteurs avec  $\sum_{i=0}^d \delta_i = 4$ . De plus, pour  $\sum_{i=0}^d \delta_i \geq 5$ , nous caractérisons les  $\delta$ -vecteurs des simplex inégalités lorsque  $\sum_{i=0}^d \delta_i$  est premier.

**Keywords:** Ehrhart polynomial,  $\delta$ -vector, integral convex polytope, integral simplex.

## 1 Introduction

One of the most attractive problems on enumerative combinatorics of convex polytopes is to find a combinatorial characterization of the Ehrhart polynomials of integral convex polytopes. In particular, the  $\delta$ -vectors of integral simplices play an important and interesting role.

Let  $\mathcal{P} \subset \mathbb{R}^N$  be an *integral* polytope, i.e., a convex polytope any of whose vertices has integer coordinates, of dimension  $d$ , and let  $\partial\mathcal{P}$  denote the boundary of  $\mathcal{P}$ . Given a positive integer  $n$ , we define

$$i(\mathcal{P}, n) = |n\mathcal{P} \cap \mathbb{Z}^N| \quad \text{and} \quad i^*(\mathcal{P}, n) = |n(\mathcal{P} \setminus \partial\mathcal{P}) \cap \mathbb{Z}^N|,$$

where  $n\mathcal{P} = \{n\alpha : \alpha \in \mathcal{P}\}$ ,  $n(\mathcal{P} \setminus \partial\mathcal{P}) = \{n\alpha : \alpha \in \mathcal{P} \setminus \partial\mathcal{P}\}$  and  $|X|$  is the cardinality of a finite set  $X$ . The systematic study of  $i(\mathcal{P}, n)$  originated in the work of Ehrhart [2], who established the following fundamental properties:

(0.1)  $i(\mathcal{P}, n)$  is a polynomial in  $n$  of degree  $d$ ;

(0.2)  $i(\mathcal{P}, 0) = 1$ ;

<sup>†</sup>The author is supported by JSPS Research Fellowship for Young Scientists.

(0.3) (loi de réciprocité)  $i^*(\mathcal{P}, n) = (-1)^d i(\mathcal{P}, -n)$  for every integer  $n > 0$ .

We say that  $i(\mathcal{P}, n)$  is the *Ehrhart polynomial* of  $\mathcal{P}$ . We refer the reader to [1, Chapter 3], [3, Part II] or [10, pp. 235–241] for the introduction to the theory of Ehrhart polynomials.

We define the sequence  $\delta_0, \delta_1, \delta_2, \dots$  of integers by the formula

$$(1 - \lambda)^{d+1} \sum_{n=0}^{\infty} i(\mathcal{P}, n) \lambda^n = \sum_{i=0}^{\infty} \delta_i \lambda^i. \quad (1)$$

Then the basic facts (0.1) and (0.2) on  $i(\mathcal{P}, n)$  together with a fundamental result on generating function [10, Corollary 4.3.1] guarantee that  $\delta_i = 0$  for every  $i > d$ . We say that the sequence (resp. the polynomial)

$$\delta(\mathcal{P}) = (\delta_0, \delta_1, \dots, \delta_d) \quad \left( \text{resp. } \delta_{\mathcal{P}}(t) = \sum_{i=0}^d \delta_i t^i \right)$$

which appears in 1 is called the  $\delta$ -vector (resp. the  $\delta$ -polynomial) of  $\mathcal{P}$ . Alternate names of  $\delta$ -vectors are, for example, *Ehrhart  $h$ -vector*, *Ehrhart  $\delta$ -vector* or  *$h^*$ -vector*. By the reciprocity law (0.3), one has

$$\sum_{n=1}^{\infty} i^*(\mathcal{P}, n) \lambda^n = \frac{\sum_{i=0}^d \delta_{d-i} \lambda^{i+1}}{(1 - \lambda)^{d+1}}. \quad (2)$$

The following properties on  $\delta$ -vectors are well known:

- By 1, one has  $\delta_0 = i(\mathcal{P}, 0) = 1$  and  $\delta_1 = i(\mathcal{P}, 1) - (d + 1) = |\mathcal{P} \cap \mathbb{Z}^N| - (d + 1)$ .
- By 2, one has  $\delta_d = i^*(\mathcal{P}, 1) = |(\mathcal{P} \setminus \partial\mathcal{P}) \cap \mathbb{Z}^N|$ . In particular, we have  $\delta_1 \geq \delta_d$ .
- Each  $\delta_i$  is nonnegative [9].
- If  $\delta_d \neq 0$ , then one has  $\delta_1 \leq \delta_i$  for every  $1 \leq i \leq d - 1$  [4].
- It follows from 2 that

$$\max\{j : \delta_j \neq 0\} + \min\{k : k(\mathcal{P} - \partial\mathcal{P}) \cap \mathbb{Z}^N \neq \emptyset\} = d + 1.$$

- When  $d = N$ , the leading coefficient of  $i(\mathcal{P}, n)$ , which coincides with  $\sum_{i=0}^d \delta_i / d!$ , is equal to the usual volume of  $\mathcal{P}$  [10, Proposition 4.6.30]. In general, the positive integer  $\sum_{i=0}^d \delta_i$  is called the *normalized volume* of  $\mathcal{P}$ .

On the classification problem of the  $\delta$ -vectors of integral convex polytopes, when we consider the possible  $\delta$ -vectors of small dimensions, all of them are essentially given in [8] when  $d = 2$ . However, the possible  $\delta$ -vectors are presumably open when  $d \geq 3$ .

In this article, we discuss the  $\delta$ -vectors of small normalized volumes. In particular, the  $\delta$ -vectors of integral simplices play a crucial role when  $\sum_{i=0}^d \delta_i \leq 4$ .

A brief overview of this article is as follows. After reviewing some well-known technique how to compute the  $\delta$ -vectors of integral simplices in Section 1, we study the possible  $\delta$ -vectors of  $\sum_{i=0}^d \delta_i \leq 3$

by using two well-known inequalities on  $\delta$ -vectors in Section 2. In Section 3, we consider the case where  $\sum_{i=0}^d \delta_i = 4$  by considering all the  $\delta$ -vectors of all the integral simplices up to some equivalence. In Section 4, we discuss the  $\delta$ -vectors of integral simplices when  $\sum_{i=0}^d \delta_i$  is prime and we classify the possible  $\delta$ -vectors of integral simplices of  $\sum_{i=0}^d \delta_i = 5$  and 7.

## 2 Review on the computation of the $\delta$ -vectors of integral simplices

Before proving our theorems, we recall a combinatorial technique to compute the  $\delta$ -vector of an integral simplex.

Given an integral simplex  $\mathcal{F} \subset \mathbb{R}^N$  of dimension  $d$  with the vertices  $v_0, v_1, \dots, v_d$ , we set

$$S = \left\{ \sum_{i=0}^d r_i(v_i, 1) \in \mathbb{R}^{N+1} : 0 \leq r_i < 1 \right\} \cap \mathbb{Z}^{N+1} \text{ and}$$

$$S^* = \left\{ \sum_{i=0}^d r_i(v_i, 1) \in \mathbb{R}^{N+1} : 0 < r_i \leq 1 \right\} \cap \mathbb{Z}^{N+1}.$$

We define the degree of an integer point  $(\alpha, n) \in S$  ( $(\alpha, n) \in S^*$ ) with  $\deg(\alpha, n) = n$ , where  $\alpha \in \mathbb{Z}^N$  and  $n \in \mathbb{Z}_{\geq 0}$ . Let  $\delta_i = |\{\alpha \in S : \deg \alpha = i\}|$  and  $\delta_i^* = |\{\alpha \in S^* : \deg \alpha = i\}|$ . Then we have

**Lemma 2.1** *Work with the same notation as above. Then we have*

(a)

$$\sum_{n=0}^{\infty} i(\mathcal{F}, n)\lambda = \frac{\delta_0 + \delta_1\lambda + \dots + \delta_d\lambda^d}{(1 - \lambda)^{d+1}};$$

(b)

$$\sum_{n=0}^{\infty} i(\mathcal{F}^*, n)\lambda = \frac{\delta_1^*\lambda + \dots + \delta_{d+1}^*\lambda^{d+1}}{(1 - \lambda)^{d+1}};$$

(c)

$$\delta_i^* = \delta_{d+1-i} \text{ for } 1 \leq i \leq d + 1.$$

We also recall the following

**Lemma 2.2** [1, Theorem 2.4] *Suppose that  $(\delta_0, \delta_1, \dots, \delta_d)$  is the  $\delta$ -vector of an integral convex polytope of dimension  $d$ . Then there exists an integral convex polytope of dimension  $d + 1$  whose  $\delta$ -vector is  $(\delta_0, \delta_1, \dots, \delta_d, 0)$ .*

Note that the required  $\delta$ -vector is obtained by forming the pyramid over the integral convex polytope.

### 3 Two well-known inequalities on $\delta$ -vectors

In this section, we present two well-known inequalities on  $\delta$ -vectors. By using them, we give the complete classification of the possible  $\delta$ -vectors of integral convex polytopes with  $\sum_{i=0}^d \delta_i \leq 3$ .

Let  $s = \max\{i : \delta_i \neq 0\}$ . Stanley [11] shows the inequalities

$$\delta_0 + \delta_1 + \cdots + \delta_i \leq \delta_s + \delta_{s-1} + \cdots + \delta_{s-i}, \quad 0 \leq i \leq \lfloor s/2 \rfloor \quad (3)$$

by using the theory of Cohen–Macaulay rings. On the other hand, the inequalities

$$\delta_d + \delta_{d-1} + \cdots + \delta_{d-i} \leq \delta_1 + \delta_2 + \cdots + \delta_{i+1}, \quad 0 \leq i \leq \lfloor (d-1)/2 \rfloor \quad (4)$$

appear in [4, Remark (1.4)]. A proof of the inequalities 4 is given by using combinatorics on convex polytopes.

Somewhat surprisingly, when  $\sum_{i=0}^d \delta_i \leq 3$ , the above inequalities 3 together with 4 give a characterization of the possible  $\delta$ -vectors. In fact,

**Theorem 3.1** *Given a finite sequence  $(\delta_0, \delta_1, \dots, \delta_d)$  of nonnegative integers, where  $\delta_0 = 1$ , which satisfies  $\sum_{i=0}^d \delta_i \leq 3$ , there exists an integral convex polytope  $\mathcal{P} \subset \mathbb{R}^d$  of dimension  $d$  whose  $\delta$ -vector coincides with  $(\delta_0, \delta_1, \dots, \delta_d)$  if and only if  $(\delta_0, \delta_1, \dots, \delta_d)$  satisfies all inequalities 3 and 4. Moreover, all integral convex polytopes can be chosen to be simplices.*

Note that the “Only if” part of Theorem 3.1 is obvious. Thus we may show the “If” part. Moreover, when  $\sum_{i=0}^d \delta_i = 1$ , it is obvious that the possible sequence is only  $(1, 0, \dots, 0)$  and this is a  $\delta$ -vector of some integral convex polytope, in particular, integral simplex. A sketch of a proof of the “If” part with  $\sum_{i=0}^d \delta_i = 2$  or 3 is as follows:

- When  $\sum_{i=0}^d \delta_i = 2$ , the possible integer sequence looks like  $(1, 0, \dots, 0, \underbrace{1}_i, 0, \dots, 0) \in \mathbb{Z}^{d+1}$ , where  $\underbrace{1}_i$  means that  $\delta_i = 1$ . On the other hand, we have  $i \leq \lfloor (d+1)/2 \rfloor$  by 4. Hence we may find an integral convex polytope, in particular, an integral simplex, whose  $\delta$ -vector coincides with that. Note that we may construct such simplex with  $i = \lfloor (d+1)/2 \rfloor$  by virtue of Lemma 2.2.
- When  $\sum_{i=0}^d \delta_i = 3$ , we have two candidates of the possible integer sequences.
  - When  $(1, 0, \dots, 0, \underbrace{2}_i, 0, \dots, 0) \in \mathbb{Z}^{d+1}$ , similar discussions to the previous case can be applied.
  - When  $(1, 0, \dots, 0, \underbrace{1}_i, 0, \dots, 0, \underbrace{1}_j, 0, \dots, 0) \in \mathbb{Z}^{d+1}$ , from 3 and 4, we have the inequalities
 
$$1 \leq i < j \leq d, \quad 2i \leq j \quad \text{and} \quad i + j \leq d + 1.$$

Once we can find an integral simplex whose  $\delta$ -vector coincides with that with  $2i = j$  and  $i + j = d + 1$ , we can also construct an integral simplex whose  $\delta$ -vector is that for any integers  $i$  and  $j$  with the above inequalities.

On the other hand, the following example shows that Theorem 3.1 is no longer true for the case where  $\sum_{i=0}^d \delta_i = 4$ .

**Example 3.2** The integer sequence  $(1, 0, 1, 0, 1, 1, 0, 0)$  cannot be the  $\delta$ -vector of any integral convex polytope of dimension 7, although this satisfies the inequalities 3 and 4. In fact, suppose, on the contrary, that there exists an integral convex polytope  $\mathcal{P} \subset \mathbb{R}^N$  of dimension 7 with  $(\delta_0, \delta_1, \dots, \delta_7) = (1, 0, 1, 0, 1, 1, 0, 0)$  its  $\delta$ -vector. Since  $\delta_1 = 0$ , we know that  $\mathcal{P}$  is a simplex. Let  $v_0, v_1, \dots, v_7$  be the vertices of  $\mathcal{P}$ . By using Lemma 2.1, one has  $S = \{(0, \dots, 0), (\alpha, 2), (\beta, 4), (\gamma, 5)\}$  and  $S^* = \{(\alpha', 3), (\beta', 4), (\gamma', 6), (\sum_{i=0}^7 v_i, 7)\}$ . Write  $\alpha' = \sum_{i=0}^7 r_i v_i$  with each  $0 < r_i \leq 1$ . Since  $(\alpha', 3) \notin S$ , there is  $0 \leq j \leq 7$  with  $r_j = 1$ . If there are  $0 \leq k < \ell \leq 7$  with  $r_k = r_\ell = 1$ , say,  $r_0 = r_1 = 1$ , then  $0 < r_q < 1$  for each  $2 \leq q \leq 7$  and  $\sum_{i=2}^7 r_i = 1$ . Hence  $(\alpha' - v_0 - v_1, 1) \in S$ , a contradiction. Thus there is a unique  $0 \leq j \leq 7$  with  $r_j = 1$ , say,  $r_0 = 1$ . Then  $\alpha = \sum_{i=1}^7 r_i v_i$  and  $\gamma = \sum_{i=1}^7 (1 - r_i) v_i$ . Let  $\mathcal{F}$  denote the facet of  $\mathcal{P}$  whose vertices are  $v_1, v_2, \dots, v_7$  with  $\delta(\mathcal{F}) = (\delta'_0, \delta'_1, \dots, \delta'_6) \in \mathbb{Z}^7$ . Then  $\delta'_2 = \delta'_5 = 1$ . Since  $\delta'_i \leq \delta_i$  for each  $0 \leq i \leq 6$ , it follows that  $\delta(\mathcal{F}) = (1, 0, 1, 0, 0, 1, 0)$ . This contradicts the inequalities 3.

### 4 Hermite normal forms with a given $\delta$ -vector

In this section, we give the complete classification of the possible  $\delta$ -vectors with  $\sum_{i=0}^d \delta_i = 4$  by means of Hermite normal forms. Moreover, it turns out that all the possible  $\delta$ -vectors with  $\sum_{i=0}^d \delta_i = 4$  can be chosen to be integral simplices.

Let  $\mathbb{Z}^{d \times d}$  denote the set of  $d \times d$  integer matrices. Recall that a matrix  $A \in \mathbb{Z}^{d \times d}$  is *unimodular* if  $\det(A) = \pm 1$ . Given integral convex polytopes  $\mathcal{P}$  and  $\mathcal{Q}$  in  $\mathbb{R}^d$  of dimension  $d$ , we say that  $\mathcal{P}$  and  $\mathcal{Q}$  are *unimodularly equivalent* if there exists a unimodular matrix  $U \in \mathbb{Z}^{d \times d}$  and an integral vector  $w \in \mathbb{Z}^d$ , such that  $\mathcal{Q} = f_U(\mathcal{P}) + w$ , where  $f_U$  is the linear transformation in  $\mathbb{R}^d$  defined by  $U$ , i.e.,  $f_U(\mathbf{v}) = \mathbf{v}U$  for all  $\mathbf{v} \in \mathbb{R}^d$ . Clearly, if  $\mathcal{P}$  and  $\mathcal{Q}$  are unimodularly equivalent, then  $\delta(\mathcal{P}) = \delta(\mathcal{Q})$ . Conversely, given a vector  $v \in \mathbb{Z}_{\geq 0}^{d+1}$ , it is natural to ask what are all the integral convex polytopes  $\mathcal{P}$  under unimodular equivalence, such that  $\delta(\mathcal{P}) = v$ . We focus on this problem for simplices with one vertex at the origin. In addition, we do not allow any shifts in the equivalence, i.e., integral convex polytopes  $\mathcal{P}$  and  $\mathcal{Q}$  of dimension  $d$  are equivalent if there exists a unimodular matrix  $U$ , such that  $\mathcal{Q} = f_U(\mathcal{P})$ .

For discussing the representative under this equivalence of the integral simplices with one vertex at the origin, we consider Hermite normal forms of square matrices.

Let  $\mathcal{P}$  be an integral simplex in  $\mathbb{R}^d$  of dimension  $d$  with the vertices  $v_0, v_1, \dots, v_d$ , where  $v_0 = (0, \dots, 0)$ . Define  $M(\mathcal{P}) \in \mathbb{Z}^{d \times d}$  to be the matrix with the row vectors  $v_1, \dots, v_d$ . Then we have the following connection between the matrix  $M(\mathcal{P})$  and the  $\delta$ -vector of  $\mathcal{P}$ :  $|\det(M(\mathcal{P}))| = \sum_{i=0}^d \delta_i$ . In this setting,  $\mathcal{P}$  and  $\mathcal{P}'$  are equivalent if and only if  $M(\mathcal{P})$  and  $M(\mathcal{P}')$  have the same Hermite normal form, where the *Hermite normal form* of a nonsingular integral square matrix  $B$  is a unique nonnegative lower triangular matrix  $A = (a_{ij}) \in \mathbb{Z}_{\geq 0}^{d \times d}$  such that  $A = BU$  for some unimodular matrix  $U \in \mathbb{Z}^{d \times d}$  and  $0 \leq a_{ij} < a_{ii}$  for all  $1 \leq j < i$ . (See, e.g., [7, Chapter 4].) In other words, we can pick the Hermite normal form as the representative in each equivalence class. By considering the  $\delta$ -vectors of all the integral simplices arising from the Hermite normal forms  $M$  with  $\det(M) = 4$ , we obtain the following

**Theorem 4.1** Let  $1 + t^{i_1} + t^{i_2} + t^{i_3}$  be a polynomial in  $t$  with  $1 \leq i_1 \leq i_2 \leq i_3 \leq d$ . Then there exists an integral convex polytope  $\mathcal{P} \subset \mathbb{R}^d$  of dimension  $d$  whose  $\delta$ -polynomial coincides with  $1 + t^{i_1} + t^{i_2} + t^{i_3}$  if and only if  $(i_1, i_2, i_3)$  satisfies

$$i_3 \leq i_1 + i_2, \quad i_1 + i_3 \leq d + 1, \quad i_2 \leq \lfloor (d + 1)/2 \rfloor \quad (5)$$

and an additional condition

$$2i_2 \leq i_1 + i_3 \quad \text{or} \quad i_2 + i_3 \leq d + 1. \quad (6)$$

Moreover, all integral convex polytopes can be chosen to be simplices.

Note that the inequalities 5 follow from the inequalities 3 and 4, that is to say, the condition 5 is automatically a necessary condition. Thus, the condition 6 is the new necessary condition on  $\delta$ -vectors when  $\sum_{i=0}^d \delta_i = 4$ .

A sketct of a proof of this theorem is as follows:

- On the “If” part, we may construct integral simplices whose  $\delta$ -polynomials look like  $1 + t^{i_1} + t^{i_2} + t^{i_3}$  satisfying 5 and 6. By characterizing the possible  $\delta$ -vectors of all the integral simplices arising from the Hermite normal forms  $M$  with  $\det(M) = 4$ , we can find such integral simplices.
- On the “Only if” part, we may show that if a polynomial  $1 + t^{i_1} + t^{i_2} + t^{i_3}$  satisfies 5 but does not satisfy 6, then  $i_1 > 1$ , i.e., an integral convex polytope with this  $\delta$ -polynomial is always a simplex. By characterizing the possible  $\delta$ -vectors of all the integral simplices arising from the Hermite normal forms  $M$  with  $\det(M) = 4$ , we can say that there exists no integral simplex whose  $\delta$ -polynomial is equal to  $1 + t^{i_1} + t^{i_2} + t^{i_3}$  not satisfying 6.

**Example 4.2** As we see in Example 3.2, the integer sequence  $(1, 0, 1, 0, 1, 1, 0, 0)$  cannot be the  $\delta$ -vector of any integral convex polytope of dimension 7. In fact, since  $8 = 2i_2 > i_1 + i_3 = 7$  and  $9 = i_2 + i_3 > 8$ , there exists no integral convex polytope of dimension 7 whose  $\delta$ -polynomial is  $1 + t^2 + t^4 + t^5$ . On the other hand, there exists an integral convex polytope of dimension 8 whose  $\delta$ -vector is  $(1, 0, 1, 0, 1, 1, 0, 0)$  since  $9 = i_2 + i_3 = d + 1$ .

**Remark 4.3** We see that all the possible  $\delta$ -vectors can be obtained by integral simplices when  $\sum_{i=0}^d \delta_i \leq 4$ . However, the  $\delta$ -vector  $(1, 3, 1)$  cannot be obtained from any integral simplex, while this is a possible  $\delta$ -vector of some integral convex polytope of dimension 2. In fact, suppose that  $(1, 3, 1)$  can be obtained from a simplex. Since  $\min\{i : \delta_i \neq 0, i > 0\} = 1$  and  $\max\{i : \delta_i \neq 0\} = 2$ , one has  $\min\{i : \delta_i \neq 0, i > 0\} = 3 - \max\{i : \delta_i \neq 0\}$ , which implies that the assumption of [5, Theorem 2.3] is satisfied. Thus the  $\delta$ -vector must be shifted symmetric, a contradiction.

## 5 Ehrhart polynomials of integral simplices with prime volumes

From the previous two sections, we know that all the possible  $\delta$ -vectors with  $\sum_{i=0}^d \delta_i \leq 4$  can be obtained by integral simplices, while this does not hold when  $\sum_{i=0}^d \delta_i = 5$ . Therefore, for the further classifications of the  $\delta$ -vectors with  $\sum_{i=0}^d \delta_i \geq 5$ , it is natural to investigate the  $\delta$ -vectors of integral simplices. In this

section, we establish the new equalities and inequalities on  $\delta$ -vectors for integral simplices when  $\sum_{i=0}^d \delta_i$  is prime. Moreover, by using them, we classify all the possible  $\delta$ -vectors of integral simplices with  $\sum_{i=0}^d \delta_i = 5$  and 7.

The following equalities or inequalities are new constraints on the  $\delta$ -vectors of integral simplices when  $\sum_{i=0}^d \delta_i$  is prime.

**Theorem 5.1** *Let  $\mathcal{P} \subset \mathbb{R}^N$  be an integral simplex of dimension  $d$  and  $\delta(\mathcal{P}) = (\delta_0, \delta_1, \dots, \delta_d)$  its  $\delta$ -vector. Suppose that  $\sum_{i=0}^d \delta_i = p$  is an odd prime number. Let  $i_1, \dots, i_{p-1}$  be the positive integers such that  $\sum_{i=0}^d \delta_i t^i = 1 + t^{i_1} + \dots + t^{i_{p-1}}$  with  $1 \leq i_1 \leq \dots \leq i_{p-1} \leq d$ . Then*

(a) 
$$i_1 + i_{p-1} = i_2 + i_{p-2} = \dots = i_{(p-1)/2} + i_{(p+1)/2} \leq d + 1;$$

(b) 
$$i_k + i_\ell \geq i_{k+\ell} \text{ for } 1 \leq k \leq \ell \leq p - 1 \text{ with } k + \ell \leq p - 1.$$

**Example 5.2** When  $\sum_{i=0}^d \delta_i$  is not prime, Theorem 5.1 is not true. In fact, by virtue of Theorem 4.1,  $(1, 1, 0, 2, 0, 0)$  is the  $\delta$ -vector of some integral simplex of dimension 5. However, one has  $2 = i_1 + i_1 < i_2 = 3$ .

A proof of this theorem is given by considering the additive group  $S$  (appeared in Section 2) associated with an integral simplex with prime normalized volume. Since the order of  $S$  is equal to the normalized volume of  $\mathcal{P}$ ,  $S$  is nothing but a cyclic group  $\mathbb{Z}/p\mathbb{Z}$ . By studying  $S$  and the degrees of its elements, we obtain the statements (a) and (b). Note that (b) follows from [6, Theorem 2.2], known as *Cauchy–Davenport theorem*.

As an application of Theorem 5.1, we give a complete characterization of the possible  $\delta$ -vectors of integral simplices when  $\sum_{i=0}^d \delta_i = 5$  and 7.

**Corollary 5.3** *Given a finite sequence  $(\delta_0, \delta_1, \dots, \delta_d)$  of nonnegative integers, where  $\delta_0 = 1$ , which satisfies  $\sum_{i=0}^d \delta_i = 5$ , there exists an integral simplex  $\mathcal{P} \subset \mathbb{R}^d$  of dimension  $d$  whose  $\delta$ -vector coincides with  $(\delta_0, \delta_1, \dots, \delta_d)$  if and only if  $i_1, \dots, i_4$  satisfy*

$$i_1 + i_4 = i_2 + i_3 \leq d + 1, \quad 2i_1 \geq i_2 \quad \text{and} \quad i_1 + i_2 \geq i_3,$$

where  $i_1, \dots, i_4$  are the positive integers such that  $\sum_{i=0}^d \delta_i t^i = 1 + t^{i_1} + \dots + t^{i_4}$  with  $1 \leq i_1 \leq \dots \leq i_4 \leq d$ .

**Corollary 5.4** *Given a finite sequence  $(\delta_0, \delta_1, \dots, \delta_d)$  of nonnegative integers, where  $\delta_0 = 1$ , which satisfies  $\sum_{i=0}^d \delta_i = 7$ , there exists an integral simplex  $\mathcal{P} \subset \mathbb{R}^d$  of dimension  $d$  whose  $\delta$ -vector coincides with  $(\delta_0, \delta_1, \dots, \delta_d)$  if and only if  $i_1, \dots, i_6$  satisfy*

$$i_1 + i_6 = i_2 + i_5 = i_3 + i_4 \leq d + 1, \quad i_1 + i_\ell \geq i_{\ell+1} \text{ for } 1 \leq \ell \leq 3 \text{ and } 2i_2 \geq i_4,$$

where  $i_1, \dots, i_6$  are the positive integers such that  $\sum_{i=0}^d \delta_i t^i = 1 + t^{i_1} + \dots + t^{i_6}$  with  $1 \leq i_1 \leq \dots \leq i_6 \leq d$ .

By virtue of Theorem 5.1, the “Only if” parts of Corollary 5.3 and Corollary 5.4 are obvious.

## References

- [1] M. Beck and S. Robins, “Computing the Continuous Discretely,” Undergraduate Texts in Mathematics, Springer, 2007.
- [2] E. Ehrhart, “Polynômes Arithmétiques et Méthode des Polyèdres en Combinatoire,” Birkhäuser, Boston/Basel/Stuttgart, 1977.
- [3] T. Hibi, “Algebraic Combinatorics on Convex Polytopes,” Carlaw Publications, Glebe NSW, Australia, 1992.
- [4] T. Hibi, A lower bound theorem for Ehrhart polynomials of convex polytopes, *Adv. in Math.* **105** (1994), 162 – 165.
- [5] A. Higashitani, Shifted symmetric  $\delta$ -vectors of convex polytopes, *Discrete Math.* **310** (2010), 2925–2934.
- [6] M. B. Nathanson, “Additive number theory, inverse problems and the geometry of subsets,” Springer–Verlag, 1996.
- [7] A. Schrijver, “Theory of Linear and Integer Programming,” John Wiley & Sons, 1986.
- [8] P. R. Scott, On convex lattice polygons, *Bull. Austral. Math. Soc.* **15** (1976), 395 – 399.
- [9] R. P. Stanley, Decompositions of rational convex polytopes, *Annals of Discrete Math.* **6** (1980), 333 – 342.
- [10] R. P. Stanley, “Enumerative Combinatorics, Volume 1,” Wadsworth & Brooks/Cole, Monterey, Calif., 1986.
- [11] R. P. Stanley, On the Hilbert function of a graded Cohen–Macaulay domain, *J. Pure and Appl. Algebra* **73** (1991), 307 – 314.