On the Connectedness and Diameter of a Geometric Johnson Graph

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Let P be a set of n points in general position in the plane. A subset I of P is called an *island* if there exists a convex set C such that $I = P \cap C$. In this paper we define the *generalized island Johnson graph* of P as the graph whose vertex consists of all islands of P of cardinality k, two of which are adjacent if their intersection consists of exactly l elements. We show that for large enough values of n, this graph is connected, and give upper and lower bounds on its diameter.

Keywords: Johnson graph, intersection graph, diameter, connectedness, islands.

1 Introduction

Let $[n] := \{1, 2, \dots, n\}$ and let $k \le n$ be a positive integer. A k-subset of a set is a subset of k elements. The Johnson graph J(n,k) is the graph whose vertex set consists of all k-subsets of [n], two of which are adjacent if their intersection has size k-1. The Kneser graph K(n,k) is the graph whose vertex set consists of all k-subsets of [n], two of which are adjacent if they are disjoint. The generalized Johnson graph GJ(n,k,l) is the graph whose vertex set consists of all k-subsets of [n], two of which are adjacent if they have exactly l elements in common. Thus GJ(n,k,k-1) = J(n,k) and GJ(n,k,0) = K(n,k).

Johnson graphs have been widely studied in the literature. This is in part for their applications in Network Design—where connectivity and diameter (i) are of importance. (Johnson graphs have small

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⁽i) A graph is connected if there is a path between any pair of its vertices. The distance between two vertices is the length of the shortest path joining them. The diameter is the maximum distance between every pair of vertices of a graph.

diameter and high connectivity.) Geometric versions of these graphs have been defined in the literature. In Araujo et al. (2005) the chromatic numbers of some "geometric type Kneser graphs" were studied. In this paper we study the connectedness and diameter of a "geometric" version of the generalized Johnson graph.

Let P be a set of n points in the plane. A subset $I \subset P$ is called an island if there exists a convex set C such that $I = P \cap C$. We say that I is a k-island if it has cardinality k (see Figure 1). Let $0 \le l < k \le n$ be integers. The *generalized island Johnson graph* IJ(P,k,l) is the graph whose vertex set consists of all k-islands of P, two of which are adjacent if their intersection has exactly l elements. Note that IJ(P,k,l) is an induced subgraph of GJ(n,k,l). If P is in convex position, then IJ(P,k,l) and GJ(n,k,l) are isomorphic—since in this case every subset of k points is a k-island.

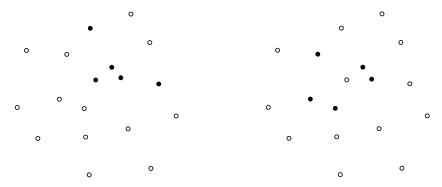


Fig. 1: A subset of 5 points which is a 5-island, and a subset of 5 points which is not (both painted black).

Graph parameters of IJ(P, k, l) can be translated to problems in Combinatorial Geometry of point sets. Here are some examples.

- The number of vertices of this graph is the number of k-islands of P-the problem of estimating this number was recently studied in Fabila-Monroy and Huemer (2011).
- An empty triangle of P is a triangle with vertices on P and without points of P in its interior. The empty triangles of P are precisely its 3-islands (or the number of vertices in IJ(P,3,l)). Counting them has been a widely studied problem Bárány and Füredi (1987); Bárány and Valtr (2004); Dumitrescu (2000); Katchalski and Meir (1988); Valtr (1995).
- A related question Bárány and Károlyi (2001) is: What is the maximum number of empty triangles that can share an edge? This translates to the problem of determining the clique number of IJ(P,3,2).

The paper is organized as follows. In Section 2, we prove that IJ(P,k,l) is connected when n is large enough with respect to k and l. The proof of this result implies an upper bound of $O\left(\frac{n}{k-l}\right) + O(k-l)$ on the diameter of this graph. In Section 3, we improve this bound for the case when $l \leq k/2$, where we show that the diameter is at most $O(\log n) + O(k-l)$. We also exhibit a choice of P for which IJ(P,k,l) has diameter at least $\Omega\left(\frac{\log n - \log k}{\log (k-l)}\right)$. Note that these bounds are asymptotically tight when $l \leq k/2$ and,

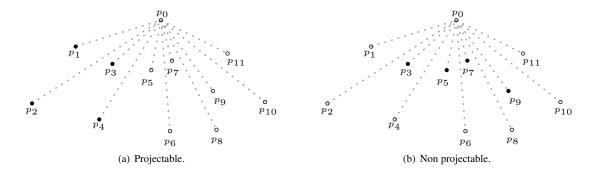


Fig. 2: A 4-island which is projectable, and a 4-island which is not projectable.

k and l are constant with respect to n. A preliminary version of this paper appeared in Bautista-Santiago et al. (2010).

2 Connectedness

In this section we prove the following theorem.

Theorem 2.1 If n > (k-l)(k-l+1) + k, then IJ(P,k,l) is connected and its diameter is $O\left(\frac{n}{k-l}\right) + O(k-l)$.

The proof is divided in two parts:

- First we choose \mathcal{F} , an appropriate 'core' subgraph from IJ(P,k,l) which has small diameter and is connected.
- Next we prove that for every vertex in IJ(P,k,l) there is a path of length at most $O\left(\frac{n}{k-l}\right)$ connecting it to a vertex in \mathcal{F} .

2.1 Choosing a core subgraph from IJ(P, k, l)

Let $P:=\{p_0,p_1,\ldots,p_{n-1}\}$ be a set of n points in general position in the plane. So that p_0 is the topmost point of P, and p_1,\ldots,p_{n-1} are sorted counterclockwise by angle around p_0 . For $0 \le i \le j \le n$, let $P_{i,j}:=\{p_i,p_{i+1},\ldots,p_j\}$ and let $P'_{i,j}:=P_{i,j}\cup\{p_0\}$. Observe that $P_{i,j}$ and $P'_{i,j}$ are both islands of P. We call these two types of islands projectable, and define this concept next.

Definition 2.2 The projection of a point p_i , $i \neq 0$, is the intersection of the ray emanating from p_0 and passing through p_i with a horizontal line. The projection of an island is the projection of its point set after omitting p_0 . Projectable islands are those islands that can be "projected" in such a way that its points are consecutive in the image of the whole set; see Figure 2.

Let \mathcal{F} be the subgraph of IJ(P,k,l) induced by the projectable k-islands of P. Let S be a set of n-1 points on a horizontal line h, and let $S' := S \cup \{x\}$, where x is a point not in h. It is not hard to see that \mathcal{F} is isomorphic to IJ(S',k,l). We classify the islands of S' into two types: those that contain x, and those that do not. Notice that these two types correspond to the two types of projectable islands of P.

Now we show that IJ(S',k,l) is connected. First we consider the subgraph of IJ(S',k,l) induced by those islands of S' that do not contain x. Note that this is precisely IJ(S,k,l). Without loss of generality assume that S is a set $x_1 < x_2 < \cdots < x_{n-1}$ of points on the real line. Observe that a k-island of S is an interval of k consecutive elements $\{x_i, \ldots, x_{i+k-1}\}$. For the sake of clarity, in what follows we refer to k-islands of S as k-intervals.

Two k-intervals of S are adjacent in IJ(S,k,l) if they overlap in exactly l elements. It follows easily that if l>0, each k-interval is adjacent to at most two different k-intervals, one containing its first element, and the other containing its last; see Figure 3. Since IJ(S,k,l) has no cycles and its maximum degree is at most two, it is a union of pairwise disjoint paths. These paths can be described as follows. For i< j, let A_i and A_j be the intervals ending at x_i and x_j respectively. There is a path between A_i and A_j if and only if $i\equiv j\mod(k-l)$. Consider the interval adjacent with A_i to its right, this interval must end at point $x_{i+(k-l)}$ (leaving exactly l points on the intersection). On the other hand, the interval adjacent with A_i to its left, must end at point $x_{i-(k-l)}$; see Figure 3. For $0 \le r < k-l$, let \mathcal{P}_r be the subgraph of IJ(S,k,l) induced by those k-intervals ending at a point with index congruent to $r\mod(k-l)$. Thus we have:

Proposition 2.3 If l > 0, \mathcal{P}_r is an induced path of IJ(S, k, l). Moreover IJ(S, k, l) is the union of $\{\mathcal{P}_r | 0 \le r < k - l\}$.

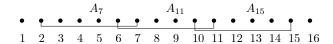


Fig. 3: Three different k-intervals, for |S| = 16, k = 6, l = 2.

For l=0 and $n \ge 3k-1$, every k-interval would either intersect the left-most k-interval or the right-most k-interval, but not both. In this case IJ(S,k,0) is connected and its diameter is at most 3. Note that except for some special cases, IJ(S,k,l) is disconnected. Remarkably, as we show next, for a large enough value of n, the addition of one extra point makes the graph connected.

As before A_i is the k-island (k-interval) that ends at point x_i and does not contain x. Let A_i' be the k-island ending at point x_i and containing x; see Figure 4. The structure of IJ(S',k,l) when l<2 is different from when $l\geq 2$. In what follows, we assume that $l\geq 2$ and briefly discuss the case l<2 at the end of this section. Note that the subgraph of IJ(S',k,l) induced by the A_i 's is precisely IJ(S,k,l); and the subgraph induced by the islands A_i' is isomorphic to IJ(S,k-1,l-1). From these observations, the following lemma is not hard to prove:

Lemma 2.4 If $l \geq 2$, then in IJ(S', k, l):

- 1. A_i is adjacent to $A'_{i-(k-l)}$ and $A'_{i+(k-l)-1}$ (if they exist).
- 2. A'_i is adjacent to $A_{i+(k-l)}$ and $A_{i-(k-l)+1}$ (if they exist).

The following theorem provides sufficient and necessary conditions for IJ(S', k, l) to be connected.

Theorem 2.5 For $l \ge 2$, the graph IJ(S', k, l) is connected if and only if $n \ge 3k - 2l - 1$ or n = k.

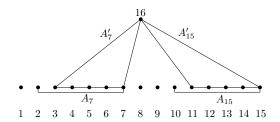


Fig. 4: Four different k-islands in S', for |S'| = 16, k = 6, l = 2.

Proof: Let I and J be two k-islands of S'. As long as the intermediate islands exists we can repeatedly use Lemma 2.4 to find a path from I to an island whose endpoint is in the same residue class of (k-l) as the endpoint of J, and that contains x if and only if J does. This is the case whenever $n \geq 3k - 2l - 1$: Consider the set of islands $\{A_k, A_{k+1}, A_{k+2}, \ldots, A_{k+(k-l-1)}\}$. Notice that, for any value of k and $l \geq 2$, all islands in this set exist. Furthermore, there is exactly one island in the set for each residue class. Afterwards Proposition 2.3 ensures that there is a path from this island to J.

Suppose that n < 3k - 2l - 1, then there exists a k-island containing x and having less than k - l points to its left and less than k - l points to its right. This island is an isolated vertex in IJ(S', k, l). This sole vertex is all of IJ(S', k, l) when n = k (in which case the graph is connected). However, if n > k, there are at least two such k-islands.

The proof of Theorem 2.5 implicitly provides the following bound on the diameter of IJ(S', k, l).

Proposition 2.6 If
$$IJ(S',k,l)$$
 is connected, then its diameter is $O\left(\frac{n-k}{k-l}\right) + O(k-l)$.

Proof: Suppose that $n \geq 3k-2l-1$, as otherwise the bound trivially holds. Let I and J be two k-islands of S'. Note that it takes at most 2(k-l) applications of Lemma 2.4 to take I to an island whose endpoint is in the same residue class of (k-l) as the endpoint of J, and that contains x if and only if J does. The path in IJ(S',k,l) or in IJ(S,k,l)-depending on whether J contains x or not-connecting this island to J has length at most $\lceil \frac{n-k}{k-l} \rceil$.

Finally we consider the case when l < 2. As we mentioned before, if l = 0 and $n \ge 3k - 1$, IJ(S, k, 0) is connected and its diameter is at most 3. This is the case also for IJ(S', k, 0). On the other hand, if l = 1, then the islands containing x induce a graph isomorphic to IJ(S, k - 1, 0). From these observations and Lemma 2.4, we get the following result.

Proposition 2.7 If $n \ge 3k$, IJ(S', k, 0) and IJ(S', k, 1) are connected and of diameter at most 4.

2.2 Paths between projectable and non projectable islands

To finish the proof of Theorem 2.1, we prove that for any island of P, there is a path connecting it to a projectable island. At the end of this section we present a first bound on the diameter of IJ(P,k,l).

Recall that $P = \{p_0, p_1, \dots, p_{n-1}\}$; p_0 is its topmost point and p_1, \dots, p_{n-1} are sorted counterclockwise by angle around p_0 . Let A be an island of P such that $|A \setminus \{p_0\}| \ge 2$. Define the weight of A as the difference between the largest and the smallest indices of the elements of $A \setminus \{p_0\}$ —an island of weight

k-1 is always projectable. The following lemma ensures the existence of a path between any island and a projectable island, by eventually reducing the weight of any given island.

Lemma 2.8 (Shrinking Lemma). If n > (k - l)(k - l + 1) + k, then every non projectable k-island A of P has a neighbor in IJ(P, k, l) which is either a projectable island or an island whose weight is less than that of A by at least k - l.

Proof: Let the elements of A different from p_0 be p_{i_1}, \ldots, p_{i_m} . Thus m is equal to k or to k-1 depending on whether A contains p_0 or not. Consider all maximal intervals of $P \setminus \{p_0\}$ containing exactly l elements of A. (That is maximal sets of consecutive elements of $P \setminus p_0$ containing exactly l elements of A.)

We distinguish two of these intervals: the one containing the first point of $P \setminus \{p_0\}$ and the one containing the last. We refer to them as end intervals, and to the rest as interior intervals.

Note that there are at most k-l+1 such intervals and that every element of $P \setminus \{p_0\}$ is in at least one of them. Since n > (k-l)(k-l+1) + k, one of these intervals, I, must contain at least (k-l) points of $P \setminus A$.

Suppose that I is an interior interval. Let $J := A \cap I$, note that |J| = l (if l = 0, set $J := \emptyset$). If J is non empty let B be the set of the k - l points of $I \setminus A$ closest⁽ⁱⁱ⁾ to Conv(J). If J is empty then let B be any k-island contained in $I \setminus A$. Then $J \cup B$ is a k-island adjacent to A in IJ(P,k,l), and its weight is smaller than the weight of A by at least k - l.

Now suppose that I is an end interval, let p_S and p_E be the first and last elements in $A \cap I$. If $[p_S, p_E]$ contains at least k-l elements of $P \setminus A$, then proceed as with interior intervals. Otherwise, there are r < k-l points of $P \setminus A$ in I. If I is the first interval, then let B be the k-l-r points previous to p_S in $P \setminus \{p_0\}$. If I is the last interval, then let B be the k-l-r points after p_E . Note that in either case, $[p_S, p_E] \cup B$ is a projectable island adjacent to A.

We are ready to finish the proof of Theorem 2.1.

Theorem 2.1 If n > (k-l)(k-l+1) + k, then IJ(P,k,l) is connected and its diameter is $O\left(\frac{n}{k-l}\right) + O(k-l)$.

Proof: Let A and B be k-islands of P. We apply Lemma 2.8 successively to find a sequence of consecutive adjacent islands $A = A_0, A_1, \ldots, A_m$ and $B = B_0, B_1, \ldots, B_{m'}$, in which each element has weight smaller than the previous by at least k - l, and the last element is a projectable island. Since the weight of the initial terms is at most n, these sequences have length $O\left(\frac{n}{k-l}\right)$.

As noted before the subgraph induced by the projectable islands is isomorphic to IJ(S',k,l). Simple arithmetic shows that if n>(k-l)(k-l+1)+k, then n>3k-2l-2. Thus this subgraph is connected and has diameter $O\left(\frac{n-k}{k-l}\right)+O(k-l)$ (Theorem 2.5 and Proposition 2.6). Hence the diameter of IJ(P,k,l) is $O\left(\frac{n}{k-l}\right)+O(k-l)$ as claimed.

⁽ii) The distance between Conv(J) and a point $p \notin Conv(J)$, is defined as the length of the shortest line segment having p and a point of Conv(J) as endpoints.

3 Bounds

3.1 Upper bound

In this section, for the case when $l \le k/2$, we improve the upper bound on the diameter of IJ(P,k,l) given in Theorem 2.1. We use a *divide and conquer* strategy. Let A and B be two vertices of IJ(P,k,l). First we find a neighbor of A and a neighbor of B; discarding half of the points of P in the process. We iterate on the new found neighbors. Just before P has very few points and IJ(P,k,l) may be disconnected; we apply Theorem 2.1.

The following lemma provides the divide and conquer part of the argument. The proof uses some of the ideas of the proof of the Shrinking Lemma.

Lemma 3.1 Let A and B be two vertices of IJ(P, k, l). If $n \ge 2((k - l)(k - l + 1) + k)$, and $l \le k/2$, then there exists a closed halfplane, H, containing at most n/2 and at least (k - l)(k - l + 1) + k points of P. With the additional property that A and B, each have a neighbor contained entirely in H.

Proof: We use the ham-sandwich theorem to find a line ℓ so that each of the two closed halfplanes bounded ℓ by contain $\lceil k/2 \rceil$ points of A and $\lceil k/2 \rceil$ points of B.

Without loss of generality suppose that the halfplane H above ℓ contains at most n/2 points of P. If H, however, does not contain at least (k-l)(k-l+1)+k points of P, move ℓ parallel down until it does. In this case H would contain at least as many points of A and B as it previously did and since we are assuming that $n \geq 2((k-l)(k-l+1)+k)$, it still contains at most n/2 points of P.

We will now show the existence of a neighbor of A in IJ(P,k,l) with the desired properties. The corresponding neighbor of B can be found in a similar way. Let $P:=P\cap H$ and sort its elements by distance to ℓ . As in the proof of Lemma 2.8 we consider maximal intervals of P' containing exactly l consecutive elements of A. There is at least one such interval, given that H contains at least k/2 points of A and that we are assuming $l \leq k/2$. The rest of the proof employs the same arguments as the proof of Lemma 2.8 to find a neighbor of A contained in one of these intervals.

Theorem 3.2 If $n \ge 2((k-l)(k-l+1)+k)$ and $l \le k/2$, then the diameter of IJ(P,k,l) is $O(\log n) + O(k-l)$.

Proof: Consider the following algorithm. Let A and B be two k-islands of P. We start by setting $A_0 := A, B_0 := B, P_0 := P, n_0 := n$. While $n_i \geq 2((k-l)(k-l+1)+k)$, we apply Lemma 3.1 to P_i , A_i , and B_i . At each step we obtain a closed halfplane H_i containing at most $n_i/2$ and at least (k-l)(k-l+1)+k points of P_i , with the additional property that both A_i and B_i have neighbors A_{i+1} and B_{i+1} contained entirely in H_i . We set $P_{i+1} := H_i \cap P_i$, $n_{i+1} := |P_{i+1}|$, and continue the iteration. We can do this procedure at most $O(\log n)$ times. In the last iteration, we have a point set P_m with fewer than 2((k-l)(k-l+1)+k) and at least (k-l)(k-l+1)+k elements. The islands A_m and B_m are both contained in P_m , and are joined by paths of length $O(\log n)$ to A and B respectively. We apply Theorem 2.1 to obtain a path of length at most O(k-l) from A_m to B_m . Concatenating the three paths we obtain a path of length $O(\log n) + O(k-l)$ from A to B in IJ(P,k,l).

3.2 Lower bound

For the lower bound we use Horton sets Horton (1983). We base our exposition on Matoušek (2002). Let X and Y be two point sets in the plane. We say that X is *high above* Y (and that Y is *deep below* X), if the following conditions are met:

- No line passing through a pair of points of $X \cup Y$ is vertical.
- Each line passing through a pair of points of X lies above all the points of Y.
- Each line passing through a pair of points of Y lies below all the points of X.

For a set $X = \{x_1, x_2, \ldots, x_n\}$ of points in the plane with no two points having the same x-coordinate and with the indices chosen so that the x-coordinate of x_i increases with i, we define the sets $X_0 = \{x_2, x_4, \ldots\}$ (consisting of the points with even indices) and $X_1 = \{x_1, x_3, \ldots\}$ (consisting of the points with odd indices). Thus $X_{00} = \{x_4, x_8, \ldots\}$, $X_{01} = \{x_2, x_6, \ldots\}$, $X_{10} = \{x_3, x_7, \ldots\}$ and $X_{11} = \{x_1, x_5, \ldots\}$.

Definition 3.3 A finite set of points H_0 , with no two of points having the same x-coordinate, is said to be a Horton set if $|H_0| \le 1$, or the following conditions are met:

- Both H_{00} and H_{01} are Horton sets.
- H_{00} is high above H_{01} .

Horton sets of any size were shown to exist in Horton (1983). We remark that in Matoušek (2002), in the definition of Horton sets, the second condition is that " H_{00} is high above H_{01} or H_{01} is high above H_{00} ". For our purposes we need to fix one of these two options.

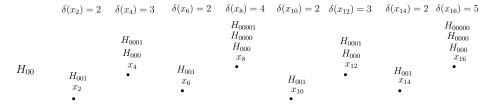
Let $H_0 := \{x_1, \dots, x_n\}$ be a Horton set of n points. Given an island A of H_0 , we define its *depth*, $\delta(A)$, to be the length of the longest string $s := 00 \dots 0$ of all zeros such that H_s contains A. Thus for example, $\delta(x_1) = 1$, $\delta(x_2) = 2$, $\delta(x_3) = 1$, $\delta(x_4) = 3$, \cdots ; refer to Figure 5. Note that the depth of an island is the depth of its shallowest point.

Lemma 3.4 Let x and y be two points of H_0 , such that x is to the left of y, and z is a point with depth less than $\delta(\{x,y\})$. Then the island $H_0 \cap Conv(\{x,y,z\})$ contains at least $2^{\delta(\{x,y\})-\delta(z)-1}-1$ points with depth greater than that of z, and lying in between x and y.

Proof: Let $A := H_0 \cap Conv(\{x,y,z\})$. We will proceed by induction on $r = \delta(\{x,y\}) - \delta(z)$. If r = 1 there is nothing to prove, since $2^{r-1} - 1 = 0$. Assume then that r > 1. Let s be the unique string of all zeros, such that H_s contains A but H_{s0} and H_{s1} do not. Note that z lies in H_{s1} while x and y both lie in H_{s0} ; actually since we are assuming r > 1, they both lie in H_{s00} . Consider the set H_{s0} , since it is a Horton set, A contains at least a point in H_{s01} , between x and y. Of all such points, choose x'_k to be the shallowest. The depth of x'_k is one more than that of z. By induction, the island $H_0 \cap Conv(\{x,y,x'_k\})$ contains a set I of at least $2^{r-2} - 1$ points. These points have depth greater than that of x'_k (thus contained in H_{s00}) and lay between x and y. Therefore I is contained in A. For each point in I, consider the next point x_m to its right in H_s0 . This point must be in H_s01 and $\delta(z) < \delta(x_m)$. Thus we have $2^{r-2} - 1$ additional points in A. Note that the point to the right of x in H_s0 is not in the previous counting. Therefore A has at least $2^{r-2} - 1 + 2^{r-2} - 1 + 1 = 2^{r-1} - 1$ points with depth greater than that of z, and lying between x and y.

Lemma 3.5 If A and B are two adjacent islands in $IJ(H_0, k, l)$ (with $l \ge 2$), then their depths differ by at most O(log(k-l)).

Proof: Without loss of generality assume that the depth of A is greater than the depth of B. Let C be the island $A \cap B$. Note that the depth of C is at least the depth of A. If z is the shallowest point of B,



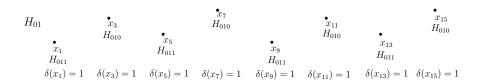


Fig. 5: A Horton set with 16 points, and the depth of its elements.

then $\delta(z) = \delta(B)$, and this point has depth less than $\delta(C)$. Consider an edge of the convex hull of C, whose supporting line separates C and z. Let x and y be its endpoints. Then by Lemma 3.4, the island $H_0 \cap Conv(\{x,y,z\})$ contains at least $2^{\delta(\{x,y\})-\delta(z)-1}-1 \geq 2^{\delta(A)-\delta(B)-1}-1$ points, none of which is in C. However, since these points do lie in B, there are at most k-l of them. Therefore $\delta(A)-\delta(B)$ is $O(\log(k-l))$ as claimed.

Theorem 3.6 The diameter of
$$IJ(H_0,k,l)$$
 for $l \geq 2$ is $\Omega\left(\frac{\log n - \log k}{\log(k-l)}\right)$

Proof: Let A be an island with the largest possible depth, which is $\lceil \log_2 n/k \rceil$. Let B be an island of depth 1. By Lemma 3.5 in any path joining A and B in $IJ(H_0,k,l)$, the depth of two consecutive vertices differs by $O(\log(k-l))$. Therefore any such path must have length $\Omega\left(\frac{\log n - \log k}{\log(k-l)}\right)$.

We point out that there was an error in the proof of Theorem 11 in the preliminary version of this paperBautista-Santiago et al. (2010); thus the bounds stated there are incorrect.

The diameter of the generalized Johnson graph can be substantially different from that of the generalized island Johnson graph. The diameter of GJ(n,k,l) is O(k) when n is large enough, while the diameter of IJ(P,k,l) can be $\Omega\left(\frac{\log n - \log k}{\log (k-l)}\right)$.

Determining upper and lower bounds for the diameter of IJ(P,k,l) seems to be a challenging problem when l>k/2. It might happen that there is a sharp jump in the diameter when l rises above k/2. We leave the closing of this gap as an open problem.

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