Non-monotone target sets for threshold values restricted to 0, 1, and the vertex degree*

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We consider a non-monotone activation process $(X_t)_{t \in \{0,1,2,...\}}$ on a graph G, where $X_0 \subseteq V(G)$, $X_t = \{u \in V(G) : |N_G(u) \cap X_{t-1}| \ge \tau(u)\}$ for every positive integer t, and $\tau : V(G) \to \mathbb{Z}$ is a threshold function. The set X_0 is a so-called non-monotone target set for (G, τ) if there is some t_0 such that $X_t = V(G)$ for every $t \ge t_0$. Ben-Zwi, Hermelin, Lokshtanov, and Newman [Discrete Optimization 8 (2011) 87-96] asked whether a target set of minimum order can be determined efficiently if G is a tree. We answer their question in the affirmative for threshold functions, we give a characterization of target sets that allows to show that the minimum target set problem remains NP-hard for planar graphs of maximum degree 3 but is efficiently solvable for graphs of bounded treewidth.

Keywords: non-monotone activation process, target set

1 Introduction

Target sets are a widely studied model for spreading processes in networks, such as influence diffusion and spread of opinions in social networks or the spread of an infectious disease. For a graph G and an integer-valued threshold function τ on its vertices, a *target set* is a set of vertices of G that we consider *active*, and by iteratively activating vertices v of G that have at least $\tau(v)$ active neighbours, eventually the entire vertex set of G becomes active. This monotone version — as activated vertices remain active for the entire process — has received most attention [1, 3, 5, 6, 10, 12, 16] and has been studied in various variations [9, 13].

In this paper we study the natural non-monotone target set selection problem as described by Ben-Zwi, Hermelin, Lokshtanov, and Newman [2], where a vertex v of G becomes non-active at any iteration of the spreading process whenever the number of its active neighbours is less than $\tau(v)$. Vertices may activate and deactivate several times, and thus, the underlying process is non-monotone. Unsurprisingly, the optimization problem of finding a minimum non-monotone target set is notably hard; Ben-Zwi et al. [2] show #P-hardness of a weighted directed version. Surprisingly, it even remains open whether the (unweighted and undirected) non-monotone target set selection problem can be solved efficiently on trees

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— a question that was raised in 2011 by Ben-Zwi et al. [2]. In this paper we make the first moderate progress on this question. Our results grew out of an efficient solution for paths and apply to a natural class of restricted instances. Before we collect some terminology and notation in order to state our results, we would like to point out that non-monotone processes were also studied in [8, 15].

We consider finite, simple, and undirected graphs. The sets of positive integers and of non-negative integers are denoted by $\mathbb{N} = \{1, 2, 3, ...\}$ and $\mathbb{N}_0 = \{0, 1, 2, 3, ...\}$, respectively. For an integer n, let [n] be the set of positive integers at most n. Let G be a graph. For a set X of vertices of G, let $N_G(X) = (\bigcup_{u \in X} N_G(u)) \setminus X$, and let $N_G[X] = X \cup N_G(X)$. A threshold function for G is a function $\tau : V(G) \to \mathbb{N}_0$. If X is a set of vertices of G, then the non-monotone activation process on (G, τ) starting with X is the sequence $(X_t)_{t \in \mathbb{N}_0}, X = X_0$, and

$$X_t = \left\{ u \in V(G) : |N_G(u) \cap X_{t-1}| \ge \tau(u) \right\} \text{ for every } t \text{ in } \mathbb{N}.$$

If there is some $t_0 \in \mathbb{N}_0$ such that $X_t = V(G)$ for every $t \ge t_0$, then X is a non-monotone target set for (G, τ) ; note that $t_0 \le 2^n$ if t_0 exists and G has order n. If $\tau(u) > d_G(u)$ for some vertex u of G, where $d_G(u)$ is the degree of u in G, then $u \notin X_t$ for every t in N. Therefore, we may assume $\tau \le d_G$ in what follows. Note, furthermore, that vertices u with $\tau(u) < 0$ behave similarly as vertices with v with $\tau(v) = 0$. Hence, we may additionally assume $\tau \ge 0$ in what follows.

Our results concern the non-monotone target set problem for instances (G, τ) , where

$$\tau(u) \in \{0, 1, d_G(u)\} \text{ for every vertex } u \text{ of } G.$$
(1.1)

First, we describe a simple reduction for such instances in Lemma 1, which isolates the vertices u with $\tau(u) = d_G(u)$. Our central result is Theorem 2, which characterizes non-monotone target sets for such instances in terms of intersection conditions. With Theorem 3 we show that the considered restricted instances are still hard. Finally, with Theorem 4 and Corollary 5, we show that the considered restricted instances are tractable for graphs of bounded treewidth; providing a positive answer to the stated question from [2] at least for the considered restricted instances.

2 Results

Throughout the paper, component always means connected component. Our first lemma yields a simple reduction rule.

Lemma 1. Let G be a graph. Let τ be a threshold function for G satisfying (1.1). Let X be a set of vertices of G. Let U be the vertex set of a component of order at least 2 of the graph

$$G[\{u \in V(G) : \tau(u) = d_G(u)\}].$$

X is a non-monotone target set for (G, τ) if and only if $N_G[U] \subseteq X$ and $X \setminus N_G[U]$ is a non-monotone target set for (G', τ') , where

$$G' = G - N_G[U] \text{ and}$$

$$\tau'(u) = \max \left\{ 0, \tau(u) - |N_G(u) \cap N_G[U]| \right\} \text{ for every vertex } u \text{ of } G'$$

Furthermore, (G', τ') satisfies (1.1), that is, $\tau'(u) \in \{0, 1, d_{G'}(u)\}$ for every $u \in V(G')$.

Proof: We first prove the necessity part of the stated equivalence. Therefore, let X be a non-monotone target set for (G, τ) . Let $(X_t)_{t \in \mathbb{N}_0}$ be the non-monotone activation process on (G, τ) starting with X. If $u \notin X_t$ for some $u \in U$ and some $t \in \mathbb{N}_0$, and v is a neighbor of u in U, then $v \notin X_{t+1}$ and $u \notin X_{t+2}$, which implies the contradiction that $u \notin X_{t+2k}$ for every $k \in \mathbb{N}_0$. It follows that $U \subseteq X_t$ for every $t \in \mathbb{N}_0$, and v is a neighbor of u in U, then $v \notin X_{t+1}$ and $u \notin X_{t+2}$, which is a contradiction. Hence, we obtain $N_G(U) \subseteq X$. Altogether, it follows that $N_G[U] \subseteq X$, which, in view of the τ values, implies that $N_G[U] \subseteq X_t$ for every $t \in \mathbb{N}_0$. Furthermore, if $(X'_t)_{t \in \mathbb{N}_0}$ is the non-monotone activation process on (G', τ') starting with $X \setminus N_G[U]$, then the definitions of G' and τ' imply that $X_t = X'_t \cup N_G[U]$ for every $t \in \mathbb{N}_0$, which implies that $X \setminus N_G[U]$ is a non-monotone target set for (G', τ') .

Now, we prove the sufficiency part of the stated equivalence. Therefore, let $N_G[U] \subseteq X$ and let $X \setminus N_G[U]$ be a non-monotone target set for (G', τ') . Let $(X_t)_{t \in \mathbb{N}_0}$ and $(X'_t)_{t \in \mathbb{N}_0}$ be a above. In view of the τ values, it follows that $N_G[U] \subseteq X_t$ for every $t \in \mathbb{N}_0$. By the definitions of G' and τ' , this implies $X_t = X'_t \cup N_G[U]$ for every $t \in \mathbb{N}_0$, which implies that X is a non-monotone target set for (G, τ) .

Let u be a vertex of G'. If $\tau(u) \in \{0,1\}$, then $\tau'(u) \in \{0,1\}$ follows immediately. If $\tau(u) = d_G(u)$ and $\tau'(u) \neq 0$, then $\tau'(u) = \tau(u) - |N_G(u) \cap N_G[U]| = d_G(u) - |N_G(u) \cap N_G[U]| = d_{G'}(u)$. Altogether, the function τ' satisfies (1.1).

For our next result, we may assume that the polynomial time reduction described in Lemma 1 has already been applied.

Theorem 2. Let G be a graph. Let τ be a threshold function for G satisfying (1.1) such that G has no edge uv with $\tau(u) = d_G(u)$ and $\tau(v) = d_G(v)$. A set X of vertices of G is a non-monotone target set for (G, τ) if and only if the following conditions hold:

- (1) Let U be the vertex set of a component of $G[\{u \in V(G) : \tau(u) \in \{0,1\}\}]$ with $|U| \ge 2$ and $\tau(u) = 1$ for every $u \in U$.
 - (a) If G[U] is not bipartite, then $X \cap N_G[U] \neq \emptyset$.
 - (b) If G[U] is bipartite with partite sets A and B, $A' = N_G(B) \setminus N_G[A]$, $B' = N_G(A) \setminus N_G[B]$, and $C = N_G(A) \cap N_G(B)$, then

$$X \cap (A \cup A' \cup C) \neq \emptyset$$
 and $X \cap (B \cup B' \cup C) \neq \emptyset$.

(2) If u is a vertex with $\tau(u) = 1$ such that $\tau(v) = d_G(v)$ for every $v \in N_G(u)$, then there are two (not necessarily distinct) neighbors v_1 and v_2 of u such that $v_1 \in X$ and $N_G(v_2) \subseteq X$.

Proof: We first prove the necessity. Let X be a non-monotone target set for (G, τ) . Let $(X_t)_{t \in \mathbb{N}_0}$ be the non-monotone activation process on (G, τ) starting with X. Let U be as in (1). Note that $\tau(u) = d_G(u)$ for every vertex $u \in N_G(U)$. Therefore, if $X \cap N_G[U] = \emptyset$, then $X_t \cap N_G[U] = \emptyset$ for every $t \in \mathbb{N}_0$, which is a contradiction. This already implies condition (1)(a). Now, let U, A, B, A', B', and C be as in (1)(b). If $X_t \cap (A \cup A' \cup C) = \emptyset$ for some $t \in \mathbb{N}_0$, then, since

- $N_G(B) \subseteq A \cup A' \cup C$, and
- every vertex in $B' \cup C$ has a neighbor in A,

we have $X_{t+1} \cap (B \cup B' \cup C) = \emptyset$, and, by symmetry, $X_{t+2} \cap (A \cup A' \cup C) = \emptyset$, which implies the contradiction that $X_{t+2k} \cap (A \cup A' \cup C) = \emptyset$ for every $k \in \mathbb{N}_0$. By symmetry, it follows that condition (1)(b) holds. Now, let u be as in (2). If $u \notin X_t$ for some $t \in \mathbb{N}_0$, then $X_{t+1} \cap N_G(u) = \emptyset$ and $u \notin X_{t+2}$, which implies the contradiction that $u \notin X_{t+2k}$ for every $k \in \mathbb{N}_0$. Hence, $u \in X_t$ for every $t \in \mathbb{N}_0$. Since $u \in X_1$, there is a neighbor v_1 of u with $v_1 \in X$. Since $u \in X_2$, there is a neighbor v_2 of u with $v_2 \in X_1$, which implies that $N_G(v_2) \subseteq X$. Altogether, condition (2) follows.

Now, we prove the sufficiency. Therefore, let X satisfy conditions (1) and (2). Let $(X_t)_{t\in\mathbb{N}_0}$ be the non-monotone activation process on (G, τ) starting with X. If there is some t_0 such that $\{u \in V(G) : \tau(u) \in \{0, 1\}\} \subseteq X_t$ for every $t \ge t_0$, then $X_t = V(G)$ for every $t \ge t_0 + 1$. Therefore, it suffices to show the existence of t_0 . If $\tau(u) = 0$ for some vertex u, then $u \in X_t$ for every $t \in \mathbb{N}$. Now, let u, v_1 , and v_2 be as in (2). Note that $\tau(w) \in \{0, 1\}$ for every $w \in N_G(v_1) \cup N_G(v_2)$. If $\{v_1\} \cup N_G(v_2) \subseteq X_t$ for some $t \in \mathbb{N}_0$, we obtain $\{v_2\} \cup N_G(v_1) \subseteq X_{t+1}$, and $\{v_1\} \cup N_G(v_2) \subseteq X_{t+2}$, which implies $u \in X_t$ for every $t \in \mathbb{N}_0$.

Now, let U be the vertex set of a component of $G[\{u \in V(G) : \tau(u) \in \{0,1\}\}]$ with $|U| \ge 2$. If $\tau(u) = 0$ for some $u \in U$, then a simple inductive argument over $\operatorname{dist}_G(u, v)$ implies $v \in X_t$ for every $v \in U$ and $t \ge \operatorname{dist}_G(u, v)$, which implies $U \subseteq X_t$ for every $t \ge \operatorname{diam}(G[U])$. Hence, we may assume that $\tau(u) = 1$ for every vertex $u \in U$.

Next, let G[U] be non-bipartite. By condition (1)(a), there is some vertex $u \in X \cap N_G[U]$. Let $v_0v_1 \ldots v_{2k}$ be an odd cycle in G[U], and let $u_0u_1 \ldots u_\ell$ be a path in $G[N_G[U]]$ such that $u = u_0$, $u_\ell = v_0$, and $u_1, \ldots, u_\ell \in U$. It follows that $u_i \in X_i$ for every $i \in \{0, \ldots, \ell\}$, in particular, we have $v_0 \in X_\ell$. Now, it follows that $v_j, v_{2k+1-j} \in X_{\ell+j}$ for every $j \in \{1, \ldots, k\}$, in particular, we have $v_k, v_{k+1} \in X_{\ell+k}$. This implies that $v_k, v_{k+1} \in X_t$ for every $t \ge \ell + k$, and, similarly as above, it follows that $U \subseteq X_t$ for every $t \ge \ell + k + \operatorname{diam}(G[U])$.

Finally, let G[U] be bipartite, and let A, B, A', B', and C be as in (1)(b). Since X contains a vertex from $A \cup A' \cup C$ as well as a vertex from $B \cup B' \cup C$, the set X_1 contains a vertex a from A and a vertex b from B. Let $u_0u_1 \ldots u_{2k+1}$ be a path in G[U] between $a = u_0$ and $b = u_{2k+1}$. It follows that $u_i, u_{2k+1-i} \in X_{1+i}$ for every $i \in \{0, 1, \ldots, k\}$, in particular, $u_k, u_{k+1} \in X_{1+k}$. This implies that $u_k, u_{k+1} \in X_t$ for every $t \ge 1 + k$, and, similarly as above, it follows that $U \subseteq X_t$ for every $t \ge 1 + k + \operatorname{diam}(G[U])$, which completes the proof.

Our next result concerns the hardness of instances (G, τ) satisfying (1.1).

Theorem 3. For every fixed positive integer d, it is NP-complete to decide, for a given triple (G, τ, k) , where

- *G* is a planar graph with vertices of degree 2 and 3, in which every two vertices of degree 3 have distance at least d,
- τ is a threshold function for G satisfying (1.1), and
- k is a positive integer,

whether (G, τ) has a non-monotone target set of order at most k.

Proof: Theorem 2 immediately implies that the considered decision problem is in NP. In order to prove NP-completeness, let C be an instance of SATISFIABILITY consisting of the clauses C_1, \ldots, C_m over the boolean variables x_1, \ldots, x_n , where

- · every clause contains two or three literals,
- for every boolean variable x_i , no clause contains both literals x_i and \bar{x}_i , exactly two clauses contain the literal x_i , and exactly one clause contains the literal \bar{x}_i , and
- the bipartite graph with partite sets $\{C_1, \ldots, C_m\}$ and $\{x_1, \ldots, x_n\}$ in which C_j is adjacent to x_i if the clause C_j contains x_i or \bar{x}_i , is planar.

It is well known [7] that SATISFIABILITY remains NP-complete for such instances.

We now describe a polynomial time construction of (G, τ, k) as in the statement such that C is satisfiable if and only if there is a non-monotone target set for (G, τ) of order at most k:

- For every $i \in [n]$, create a graph G_i as shown in Figure 1.
- For every $j \in [m]$, create a triangle C^j .
- For every $i \in [n]$ and $j \in [m]$, if the positive literal x_i appears in C_j , then add an edge between the vertex x_i of G_i and some vertex of C^j , and if the negative literal \bar{x}_i appears in C_j , then add an edge between the vertex \bar{x}_i of G_i and some vertex of C^j . Ensure that the degrees of the vertices on C^j remain at most 3 by selecting different endpoints on C^j for the at most 3 edges towards C^j .
- Subdivide every edge e of the graph constructed so far exactly $2\lfloor \frac{d}{2} \rfloor$ times, that is, replace each edge e by a path P_e of odd length at least d.

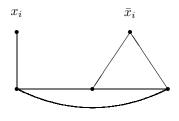


Fig. 1: The variable gadget G_i .

This completes the description of G. Note that G has order $5n + 3m + 2\lfloor \frac{d}{2} \rfloor (9n + 3m)$ and is as required in the statement. It remains to specify τ and k:

- Let $\tau(x_i) = d_G(x_i)$ and $\tau(\bar{x}_i) = d_G(\bar{x}_i)$ for every $i \in [n]$, and let $\tau(v) = 1$ for all remaining vertices.
- Let k = n.

Note that G has no edge uv with $\tau(u) = d_G(u)$ and $\tau(v) = d_G(v)$, and that each component of $G[\{u \in V(G) : \tau(u) = 1\}]$ is of order at least 2 and not bipartite. Therefore, non-monotone target sets for (G, τ) are characterized by condition (1)(a) from Theorem 2.

If C has a satisfying truth assignment t, then

$$X = \{x_i : i \in [n] \text{ and } x_i \text{ is true under } t\} \cup \{\overline{x}_i : i \in [n] \text{ and } x_i \text{ is false under } t\}$$

is a non-monotone target set of order k = n for (G, τ) by Theorem 2.

Conversely, if X is a non-monotone target set of order at most k = n for (G, τ) , then, by Theorem 2 and since k = n, the set X contains exactly one vertex from $\bigcup_{e \in E(G_i)} V(P_e)$ for every $i \in [n]$. Hence, the

intersections $X \cap \{x_i, \bar{x}_i\}$ define a partial truth assignment. Let the truth assignment t extend this partial truth assignment. Again by Theorem 2, the set X contains at least one vertex from $N_G[V(C^j)]$, which implies that t is satisfying.

Our next result is based on the commonly used notion of a *nice tree decomposition* [14]. Let G be a graph. A *tree decomposition* of G is a pair $(T, (X_t)_{t \in V(T)})$, where

- T is a tree,
- $X_t \subseteq V(G)$ for every node t of T,
- $\{t \in V(T) : u \in X_t\}$ induces a non-empty subtree of T for every vertex u of G, and,
- for every edge uv of G, there is some node t of T with $u, v \in X_t$.

The width of the tree decomposition is $\max \{|X_t| : t \in V(T)\} - 1$. The tree decomposition is nice if T is a rooted binary tree, and every node t of T is of one of the following types:

- t is a leaf of T, and $X_t = \emptyset$ (*leaf node*).
- t has two children t' and t'', and $X_t = X_{t'} = X_{t''}$ (join node).
- t has a unique child t', and

either $|X_t \setminus X_{t'}| = 1$ and $|X_{t'} \setminus X_t| = 0$ (introduce node), or $|X_{t'} \setminus X_t| = 1$ and $|X_t \setminus X_{t'}| = 0$ (forget node).

The proof of our next result is based on the reduction described in Lemma 1 and dynamic programming along a nice tree decomposition.

Theorem 4. Given

- a pair (G, τ) , where G is a graph of order n(G), and τ is a threshold function for G satisfying (1.1), and
- a nice tree decomposition $(T, (X_t)_{t \in V(T)})$ of G of width w, where T has order n(T),

the minimum order of a non-monotone target set for (G, τ) can be determined in time

$$2^{5w} \cdot n(T) \cdot \operatorname{poly}(n(G)).$$

Proof: Let (G, τ) and $(T, (X_t)_{t \in V(T)})$ be as in the statement. Applying the polynomial time reduction described in Lemma 1, we may assume that G has no edge uv with $\tau(u) = d_G(u)$ and $\tau(v) = d_G(v)$. Since this reduction only involves the removal of vertices from G, the given initially nice tree decomposition can be modified in time $n(T) \cdot \text{poly}(n(G))$ in such a way that it stays nice. Possibly adding O(n(G)) further forget nodes to T, we may assume that $X_{t_0} = \emptyset$, where t_0 is the root of T. For every node t of

T, let Z_t denote the set of nodes of T that contains t as well as all its descendants, and, let G_t be the subgraph of G induced by $\bigcup_{s \in Z_t} X_s$.

Let G' arise from the graph

$$G[\{u \in V(G) : \tau(u) \in \{0, 1\}\}]$$

by removing all components that have order 1 or contain a vertex u with $\tau(u)=0.$ Let

- U_1, \ldots, U_p be the vertex sets of the non-bipartite components of G', and let
- U'_1, \ldots, U'_q be the vertex sets of the bipartite components of G'.

For $U = U'_i$ for some $i \in [q]$, and A, B, A', B', and C as in Theorem 2 (1)(b), let

•
$$\overline{A}(U) = A \cup A' \cup C$$
 and $\overline{B}(U) = B \cup B' \cup C$.

Let

• u_1, \ldots, u_r be the vertices u of G with $\tau(u) = 1$ such that $\tau(v) = d_G(v)$ for every $v \in N_G(u)$.

Clearly, all of $U_1, \ldots, U_p, U'_1, \bar{A}(U'_1), \bar{B}(U'_1), \ldots, U'_q, \bar{A}(U'_q), \bar{B}(U'_q), u_1, \ldots, u_r$ can be determined in poly(n(G)) time.

For every node t of T, let

 $\begin{array}{rcl} P_t &=& \{i \in [p] : U_i \cap X_t \neq \emptyset\}, \\ Q_t &=& \{i \in [q] : U_i' \cap X_t \neq \emptyset\}, \\ R_t &=& \{i \in [r] : u_i \in X_t\}, \text{ and} \\ D_t &=& \{u \in X_t : \tau(u) = d_G(u)\}. \end{array}$

Let t be a node of T. Let $V^- = V(G_t) \setminus X_t$. Note that $N_G(u) = N_{G_t}(u)$ for every vertex $u \in V^-$. A pattern for t is a 5-tuple $(S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, where

(i) $S \subseteq X_t$,

(ii)
$$B_{(1)(a)} = (b(U_i))_{i \in P_t} \in \{0, 1\}^{|P_t|},$$

(iii)
$$B_{(1)(b)} = \left(\left(b_{\bar{A}}(U'_i), b_{\bar{B}}(U'_i) \right) \right)_{i \in Q_t} \in \left(\{0, 1\}^2 \right)^{|Q_t|},$$

(iv)
$$B_{(2)} = \left(\left(b_{v_1}(u_i), b_{v_2}(u_i) \right) \right)_{i \in R_t} \in \left(\{0, 1\}^2 \right)^{|R_t|}$$
, and

(v)
$$B_d = (b(u))_{u \in D_t} \in \{0, 1\}^{|D_t|}$$

Intuitively, the set S fixes the intersection of a potential non-monotone target set with X_t , and the suitably formatted 0/1-vector $(B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ stores bits of information related to the conditions in Theorem 2. For a pattern $(S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ for t, let

$$x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$$

be the minimum of $|X \setminus X_t|$ over all subsets X of $V(G_t)$ such that

- (C1) $X \cap X_t = S$.
- (C2) $X \cap N_G[U_i] \neq \emptyset$ for every $i \in [p]$ with $U_i \subseteq V^-$.
- (C3) $X \cap \overline{A}(U) \neq \emptyset$ and $X \cap \overline{B}(U) \neq \emptyset$ for every $i \in [q]$ with $U'_i \subseteq V^-$.
- (C4) For every $i \in [r]$ with $u_i \in V^-$, there are vertices $v_1, v_2 \in N_{G_t}(u_i)$ such that $\{v_1\} \cup N_{G_t}(v_2) \subseteq X$, and, if $v_2 \in X_t$, then $b(v_2) = 1$.
- (C5) $X \cap N_G[U_i] \cap V^- \neq \emptyset$ for every $i \in P_t$ with $b(U_i) = 1$.

(Note that, if $b(U_i) = 0$, then $X \cap N_G[U_i] \cap V^-$ is not required to be empty. In other words, $b(U_i) = 1$ is not equivalent to $X \cap N_G[U_i] \cap V^- \neq \emptyset$, but " $b(U_i) = 1$ " just imposes one more condition than " $b(U_i) = 0$ ".)

- (C6) $X \cap \overline{A}(U'_i) \cap V^- \neq \emptyset$ for every $i \in Q_t$ with $b_{\overline{A}}(U'_i) = 1$.
- (C7) $X \cap \overline{B}(U'_i) \cap V^- \neq \emptyset$ for every $i \in Q_t$ with $b_{\overline{B}}(U'_i) = 1$.
- (C8) $X \cap V^-$ contains a neighbor of u_i for every $i \in R_t$ with $b_{v_1}(u_i) = 1$.
- (C9) V^- contains a neighbor v_2 of u_i with $N_{G_t}(v_2) \subseteq X$ for every $i \in R_t$ with $b_{v_2}(u_i) = 1$.
- (C10) $N_{G_t}(u) \subseteq X$ for every $u \in D_t$ with b(u) = 1.

If there is no set X satisfying these conditions, then let $x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d) = \infty$. If

$$\mathcal{P} = \left(S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d\right) \quad \text{and} \quad \mathcal{P}' = \left(S, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B'_d\right)$$

are two patterns for t such that $\mathcal{P} \geq \mathcal{P}'$ pointwise, and some set X satisfies (C1) to (C10) for the first pattern \mathcal{P} , then X also satisfies (C1) to (C10) for the second pattern \mathcal{P}' . This immediately implies

$$x(S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d) \ge x(S, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B'_d).$$

Since $|P_t| + |Q_t| + |R_t| + |D_t| \le |X_t| \le w + 1$, there are at most $2^{w+1}4^{w+1} = 8^{w+1}$ patterns for t. Furthermore, if t_0 is the root of T, then, since $X_t = \emptyset$ and $G_t = G$, we have $P_{t_0} = Q_{t_0} = R_{t_0} = D_{t_0} = \emptyset$, and $x(t_0, \emptyset, \emptyset, \emptyset, \emptyset, \emptyset)$ is the minimum order of a non-monotonous target set for (G, τ) . Similarly, if t is a leaf of T, then $(\emptyset, \emptyset, \emptyset, \emptyset, \emptyset)$ is the only choice for $(S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, and $x(t, \emptyset, \emptyset, \emptyset, \emptyset, \emptyset, \emptyset) = 0$. In order to complete the proof, it suffices to explain how to determine the values $x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ recursively in an efficient way for every node t of T that is not a leaf. How to obtain the stated running time is explained at the end of the proof.

Claim 1. Let t be a join node with the two children t' and t''. If $(S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ is a pattern for t, then $x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ is the minimum value of

$$x\left(t', S, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B_d\right) + x\left(t'', S, B''_{(1)(a)}, B''_{(1)(b)}, B''_{(2)}, B_d\right),\tag{2.1}$$

where $\left(S, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B_d\right)$ is a pattern for t' with

$$\left(B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}\right) = \left(\left(b'(U_i)\right)_{i \in P_t}, \left(\left(b'_{\bar{A}}(U'_i), b'_{\bar{B}}(U'_i)\right)\right)_{i \in Q_t}, \left(\left(b'_{v_1}(u_i), b'_{v_2}(u_i)\right)\right)_{i \in R_t}\right),$$

and $\left(S, B_{(1)(a)}'', B_{(1)(b)}'', B_{(2)}'', B_d\right)$ is a pattern for t'' with

$$\left(B_{(1)(a)}'', B_{(1)(b)}'', B_{(2)}''\right) = \left(\left(b''(U_i)\right)_{i \in P_t}, \left(\left(b_{\bar{A}}''(U_i'), b_{\bar{B}}''(U_i')\right)\right)_{i \in Q_t}, \left(\left(b_{v_1}''(u_i), b_{v_2}''(u_i)\right)\right)_{i \in R_t}\right)$$

such that

- $b(U_i) \leq b'(U_i) + b''(U_i)$ for every $i \in P_t$.
- $b_{\bar{A}}(U'_i) \leq b'_{\bar{A}}(U'_i) + b''_{\bar{A}}(U'_i)$ for every $i \in Q_t$.
- $b_{\bar{B}}(U'_i) \le b'_{\bar{B}}(U'_i) + b''_{\bar{B}}(U'_i)$ for every $i \in Q_t$.
- $b_{v_1}(u_i) \le b'_{v_1}(u_i) + b''_{v_1}(u_i)$ for every $i \in R_t$.
- $b_{v_2}(u_i) \le b'_{v_2}(u_i) + b''_{v_2}(u_i)$ for every $i \in R_t$.

Proof Proof of Claim 1: Note that $G_t = G_{t'} \cup G_{t''}$, $V(G_{t'}) \cap V(G_{t''}) = X_t$, and $V(G_t) \setminus X_t$ is the disjoint union of $V(G_{t'}) \setminus X_{t'}$ and $V(G_{t''}) \setminus X_{t''}$.

If $X \subseteq V(G_t)$ satisfies (C1) to (C10) for $(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, then there are choices of $(t', S, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B_d)$ and $(t'', S, B''_{(1)(a)}, B''_{(1)(b)}, B''_{(2)}, B_d)$ as in the statement such that

- $X \cap V(G_{t'})$ satisfies (C1) to (C10) for $\left(t', S, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B_d\right)$ and
- $X \cap V(G_{t''})$ satisfies (C1) to (C10) for $(t'', S, B''_{(1)(a)}, B''_{(1)(b)}, B''_{(2)}, B_d)$.

If, for instance, $b(U_i) = 1$ for some $i \in P_t$, then, by (C5), the set $X \cap (V(G_t) \setminus X_t)$ contains some vertex from $N_G[U_i]$, which either belongs to $X \cap V(G_{t'})$, in which case $b'(U_i)$ can be set to 1, or to $X \cap V(G_{t''})$, in which case $b''(U_i)$ can be set to 1.

Conversely, for all choices of $\left(S, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B_d\right)$ and $\left(S, B''_{(1)(a)}, B''_{(1)(b)}, B''_{(2)}, B_d\right)$ as in the statement, if

- $X \cap V(G_{t'})$ satisfies (C1) to (C10) for $(t', S, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B_d)$ and
- $X \cap V(G_{t''})$ satisfies (C1) to (C10) for $(t'', S, B''_{(1)(a)}, B''_{(1)(b)}, B''_{(2)}, B_d)$,

then $X' \cup X''$ satisfies (C1) to (C10) for $(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$. This completes the proof of the claim.

Claim 2. If t is an insert node with child t', $X_t \setminus X_{t'} = \{u\}$, and $(S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ is a pattern for t such that $x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d) < \infty$, then the following statements hold.

- (1) If $u \notin S$, then b(v) = 0 for every $v \in D_t \cap N_G(u)$.
- (2) If $i \in P_t \setminus P_{t'}$, then $b(U_i) = 0$.
- (3) If $i \in Q_t \setminus Q_{t'}$, then $b_{\bar{A}}(U'_i) = b_{\bar{B}}(U'_i) = 0$.
- (4) If $i \in R_t \setminus R_{t'}$, then $b_{v_1}(u) = b_{v_2}(u) = 0$.
- (5) If $u \in D_t$ and b(u) = 1, then $N_{G_t}(u) \subseteq S$.
- (6) $x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d) = x(t', S', B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B'_d)$, where $S' = S \setminus \{u\}$, $B'_{(1)(a)} = B_{(1)(a)}|_{P_{t'}}, B'_{(1)(b)} = B_{(1)(b)}|_{Q_{t'}}, B'_{(2)} = B_{(2)}|_{R_{t'}}$, and $B'_d = B_d \setminus \{u\}$.

Proof Proof of Claim 2: Note that $G_{t'} = G_t - u$, $N_{G_t}(u) \subseteq X_t$, and $V(G_t) \setminus X_t = V(G_{t'}) \setminus X_{t'}$. Condition (C10) clearly implies (1). If $i \in P_t \setminus P_{t'}$, then $U_i \cap X_t = \{u\}$, and $V(G_t) \setminus X_t$ contains no vertex from $N_G[U_i]$, which implies (2). Similar arguments imply (3) and (4). If $u \in D_t$ and b(u) = 1, then $N_{G_t}(u) \subseteq X_t$ and (C10) imply (5). Now, the stated equality (6) follows from $V(G_t) \setminus X_t = V(G_{t'}) \setminus X_{t'}$, which completes the proof of the claim.

For the following Claims 3 to 6, let t be a forget node of t with child t', let $X_{t'} \setminus X_t = \{u\}$, and let $(S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ be a pattern for t. By definition, $P_t \subseteq P_{t'}$, $Q_t \subseteq Q_{t'}$, $R_t \subseteq R_{t'}$, and $D_t \subseteq D_{t'}$. We consider various patterns

$$\left(S', B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B'_{d}\right)$$

= $\left(S', \left(b'(U_{i})\right)_{i \in P_{t'}}, \left(\left(b'_{\bar{A}}(U'_{i}), b'_{\bar{B}}(U'_{i})\right)\right)_{i \in Q_{t'}}, \left(\left(b'_{v_{1}}(u_{i}), b'_{v_{2}}(u_{i})\right)\right)_{i \in R_{t'}}, \left(b'(u)\right)_{u \in D_{t'}}\right)$

for t'.

=

Claim 3. If $u \in U_i$ for some $i \in P_t$, then $x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ is the minimum of the two values

- $x(t', S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ and
- $x(t', S \cup \{u\}, B'_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, where $b'(U_i) = 0$ and the remaining entries of $B'_{(1)(a)}$ are as in $B_{(1)(a)}$.

Proof Proof of Claim 3: Note that $i \in P_{t'}$, that is, the set $X_{t'}$ contains a vertex from U_i that is different from u.

If $X \subseteq V(G_t)$ satisfies (C1) to (C10) for $(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, then

- either $u \notin X$, and X satisfies (C1) to (C10) for $(t', S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$,
- or $u \in X$, and X satisfies (C1) to (C10) for $(t', S \cup \{u\}, B'_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$.

Conversely, if $X' \subseteq V(G_{t'})$ satisfies (C1) to (C10) for $(t', S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, then $u \notin X'$, and X' satisfies (C1) to (C10) for $(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$. Furthermore, if $X'' \subseteq V(G_{t'})$ satisfies (C1) to (C10) for $(t', S \cup \{u\}, B'_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, then $u \in X''$, and X'' satisfies (C1) to (C10) for $(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, regardless of the value of $b(U_i)$. These observations imply the claim.

Claim 4. If $u \in U_i$ for some $i \in P_{t'} \setminus P_t$, then $x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ is the minimum of the two values

• $x\left(t', S, B'_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d\right)$, where $b'(U_i) = \begin{cases} 1, & \text{if } S \text{ contains no vertex from } N_G[U_i], \text{ and} \\ 0, & \text{otherwise,} \end{cases}$

and the remaining entries of $B'_{(1)(a)}$ are as in $B_{(1)(a)}$, and

• $x(t', S \cup \{u\}, B''_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, where $b''(U_i) = 0$ and the remaining entries of $B''_{(1)(a)}$ are as in $B_{(1)(a)}$.

Proof Proof of Claim 4: Since $i \in P_{t'} \setminus P_t$, the vertex u is the only vertex from U_i in $X_{t'}$, which implies $N_G[U_i] \subseteq V(G_t)$.

If $X \subseteq V(G_t)$ satisfies (C1) to (C10) for $(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, then

- either $u \notin X$, and S satisfies (C1) to (C10) for $(t', S, B'_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$,
- or $u \in X$, and $S \cup \{u\}$ satisfies (C1) to (C10) for $(t', S \cup \{u\}, B''_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$.

Conversely, if $X' \subseteq V(G_{t'})$ satisfies (C1) to (C10) for $(t', S, B'_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, then $u \notin X'$, and X' satisfies (C1) to (C10) for $(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, and, if $X'' \subseteq V(G_{t'})$ satisfies (C1) to (C10) for $(t', S \cup \{u\}, B''_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, then $u \in X''$, and X'' satisfies (C1) to (C10) for $(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, regardless of the value of $b(U_i)$. These observations imply the claim.

If $u \in U_i$ for some $i \in Q_t$ or $u \in U_i$ for some $i \in Q_{t'} \setminus Q_t$, then there are statements that are completely analogous to Claims 3 and 4, and thus, we omit the details.

Claim 5. If $u = u_i$ for some $i \in R_{t'}$, then $x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ equals the value of $x(t', S \cup \{u\}, B_{(1)(a)}, B_{(1)(b)}, B'_{(2)}, B_d)$, where

$$b'_{v_1}(u_i) = \begin{cases} 1, & \text{if } S \text{ contains no neighbor of } u_i, \text{ and} \\ 0, & \text{otherwise,} \end{cases}$$

and

$$b'_{v_2}(u_i) = \begin{cases} 1, & \text{if } X_t \text{ contains no neighbor } v_2 \text{ of } u_i \text{ with } b(v_2) = 1, \text{ and} \\ 0, & \text{otherwise.} \end{cases}$$

Proof Proof of Claim 4: Note that $i \notin R_t$. The stated equality follows immediately from (C4) applied to t as well as (C8) and (C9) applied to t'.

Claim 6. If $u \in D_{t'}$, then $x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ is the minimum of the four values

- $x(t', S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B'_d)$, where
 - b'(u) = 0 and the remaining entries of B'_d are as in B_d ,

•
$$x(t', S, B_{(1)(a)}, B_{(1)(b)}, B'_{(2)}, B'_d)$$
, where

- b'(u) = 1 and the remaining entries of B'_d are as in B_d ,
- $b'_{v_2}(u_i) = 0$ for every $i \in R_t$ such that u is a neighbor of u_i , and the remaining entries of $B'_{(2)}$ are as in $B_{(2)}$,
- $x\left(t', S \cup \{u\}, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B'_d\right)$, where
 - b'(u) = 0 and the remaining entries of B'_d are as in B_d ,
 - b'(U_i) = 0 for every i ∈ P_t with u ∈ N_G[U_i] and the remaining entries of B'_{(1)(a)} are as in B_{(1)(a)},
 - $b'_{\bar{A}}(U'_i) = 0$ for every $i \in Q_t$ with $u \in \bar{A}(U'_i)$, $b'_{\bar{B}}(U'_i) = 0$ for every $i \in Q_t$ with $u \in \bar{B}(U'_i)$, and the remaining entries of $B'_{(1)(b)}$ are as in $B_{(1)(b)}$,
 - b'_{v1}(ui) = 0 for every i ∈ Rt such that u is a neighbor of ui, and the remaining entries of B'₍₂₎ are as in B₍₂₎.

• $x\left(t', S \cup \{u\}, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B'_d\right)$, where

- b'(u) = 1 and the remaining entries of B'_d are as in B_d ,
- b'(U_i) = 0 for every i ∈ P_t with u ∈ N_G[U_i] and the remaining entries of B'_{(1)(a)} are as in B_{(1)(a)},
- $b'_{\bar{A}}(U'_i) = 0$ for every $i \in Q_t$ with $u \in \bar{A}(U'_i)$, $b'_{\bar{B}}(U'_i) = 0$ for every $i \in Q_t$ with $u \in \bar{B}(U'_i)$, and the remaining entries of $B'_{(1)(b)}$ are as in $B_{(1)(b)}$,
- $b'_{v_1}(u_i) = 0$ for every $i \in R_t$ such that u is a neighbor of u_i , $b'_{v_2}(u_i) = 0$ for every $i \in R_t$ such that u is a neighbor of u_i , and the remaining entries of $B'_{(2)}$ are as in $B_{(2)}$.

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Proof Proof of Claim 6: Note that $P_t = P_{t'}$, $Q_t = Q_{t'}$, $R_t = R_{t'}$, and $D_{t'} = D_t \cup \{u\}$. The four cases correspond to the four possibilities

- $u \notin X$ and b(u) = 0,
- $u \notin X$ and b(u) = 1,
- $u \in X$ and b(u) = 0, and
- $u \in X$ and b(u) = 1,

and they encode the consequences for U_i with $i \in P_t$, U'_i with $i \in Q_t$, and u_i with $i \in R_t$ for those elements affected by u. Similar obvious observations as in the proof of Claim 4 complete the proof of this claim.

Claim 7. If $u \notin \bigcup_{i \in P_{t'}} U_i \cup \bigcup_{i \in Q_{t'}} U'_i \cup \bigcup_{i \in R_{t'}} \{u_i\} \cup D_{t'}$, then $x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ is the minimum of the two values

- $x(t', S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ and
- $x(t', S \cup \{u\}, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d).$

Proof Proof of Claim 7: This follows immediately from the definitions.

In order to complete the proof, it suffices to argue that, spending $2^{5w} \cdot poly(n(G))$ time for each of the n(T) nodes t of T, all values of $x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ can be determined. Since the initialization of the leaves is trivial, processing the nodes of T from the leaves to the root, we may assume, for each node t currently considered, that we dispose of all values for its one or two children. Considering the cases corresponding to the different claims, it is easy to see, that the join nodes considered in Claim 1 entail most effort, and we give details only for these. Therefore, let t be a join node.

- We initialize all values x(t, ...) values as ∞ .
- We loop through all at most $2^{5(w+1)}$ choices for

$$\left(S, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B''_{(1)(a)}, B''_{(1)(b)}, B''_{(2)}, B_d\right)$$

using the notation of Claim 1, and update $x(t, S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$ with the minimum of its current value and

$$x\left(t', S, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B_d\right) + x\left(t'', S, B''_{(1)(a)}, B''_{(1)(b)}, B''_{(2)}, B_d\right),$$

where every entry b of $(B_{(1)(a)}, B_{(1)(b)}, B_{(2)})$ satisfies

$$b = \min\{1, b' + b''\}$$

for the two corresponding entries b' and b'' of $\left(B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}\right)$ and $\left(B''_{(1)(a)}, B''_{(1)(b)}, B''_{(2)}\right)$, respectively.

• Now, we loop through at most $2^{3(w+1)}$ choices for

$$\mathcal{P} = (S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$$

as in Claim 1, in lexicographically increasing order of $(B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$.

For each choice of $\mathcal{P} = (S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$, we loop through all at most $2^{2(w+1)}$ choices for $(B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)})$ such that $(B_{(1)(a)}, B_{(1)(b)}, B_{(2)}) \ge (B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)})$ pointwise, and update the value of $x (S, B'_{(1)(a)}, B'_{(1)(b)}, B'_{(2)}, B'_d)$ with the minimum of its current value and the value of $x (S, B_{(1)(a)}, B_{(1)(b)}, B_{(2)}, B_d)$.

By Claim 1 and the comments preceding it, all values x(t,...) are correct after the completion of these loops, which completes the proof.

If only (G, τ) is given, and G has order n and treewidth w, then one can, in time $2^{\mathcal{O}(w)}n$ [4, 14], determine a nice tree decomposition of G of width at most $\mathcal{O}(w)$ such that the underlying tree has order $\mathcal{O}(wn)$. This immediately implies our final result.

Corollary 5. Given a pair (G, τ) , where G is a graph of order n and treewidth w, and τ is a threshold function for G satisfying (1.1), the minimum order of a non-monotone target set for (G, τ) can be determined in time $2^{\mathcal{O}(w)} \operatorname{poly}(n(G))$.

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